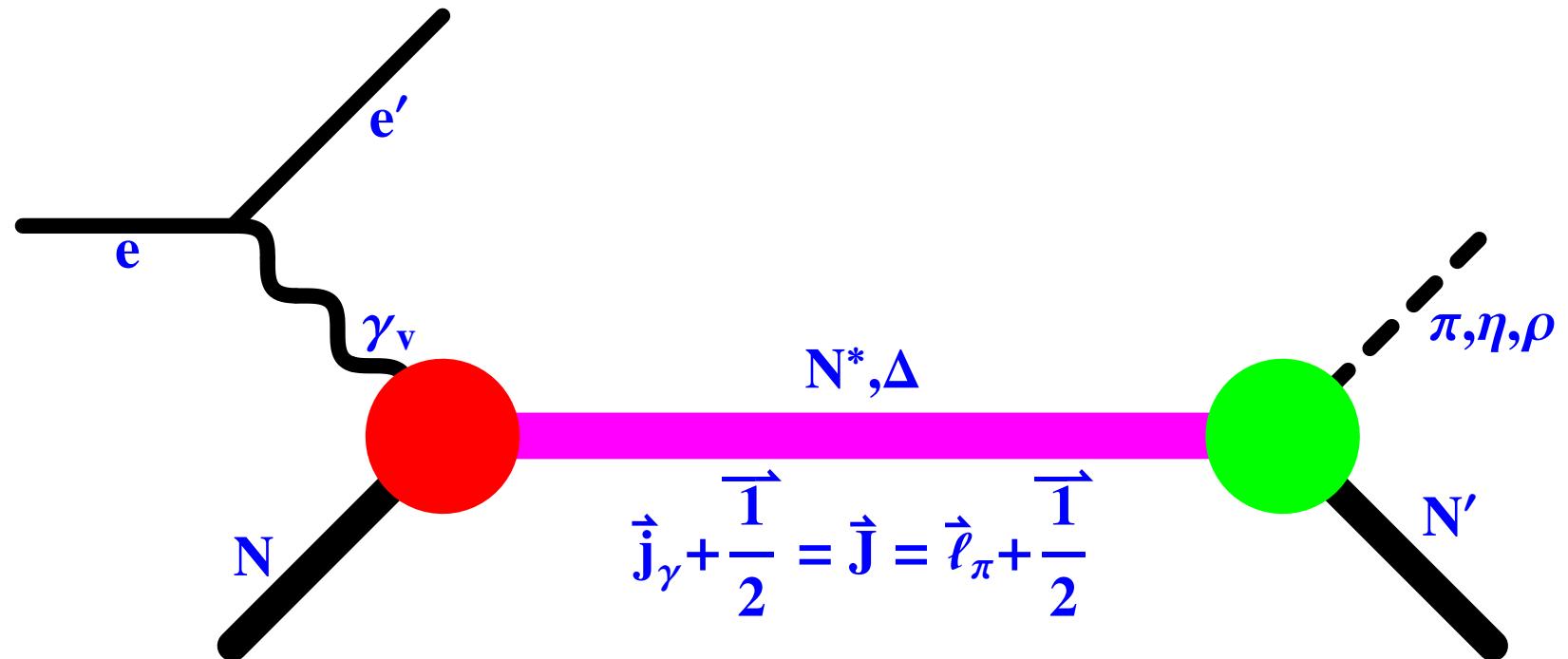


# Response Functions for Delta Excitation at $Q^2 = 1$ (GeV/c) $^2$

James J. Kelly  
University of Maryland



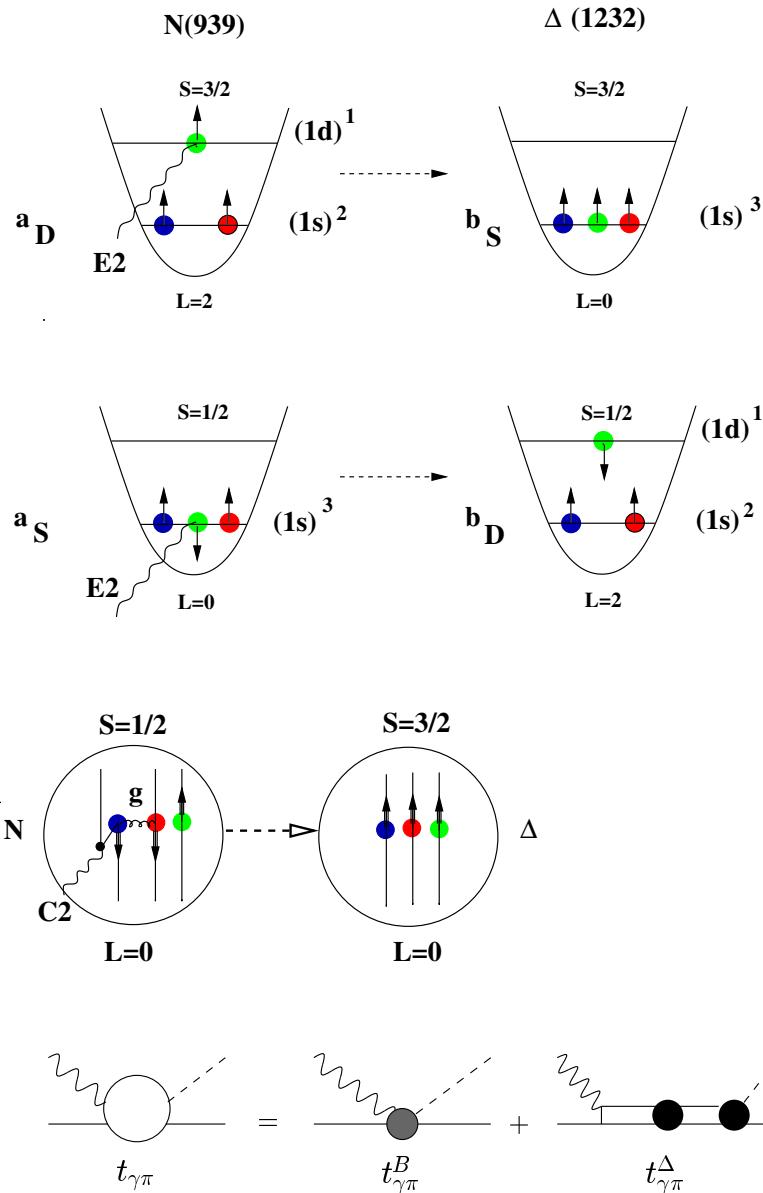
# Origin of Quadrupole Amplitudes

configuration mixing: color hyperfine interaction gives  $L = 2$  admixture

figure from Buchmann

gluon or pion exchange currents with double spin flip figure from Buchmann

$\pi N$  final state interactions  
from Kamalov and Yang



# Outline

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**Problem: resonance properties versus reaction dynamics**

**Method: polarization measurement of complex multipole amplitudes**

- Introduction
- Review of low  $Q^2$  data
- JLab experiment e91011
  - cross section and polarization analyses
  - Legendre and multipole analyses
  - quadrupole ratios
  - play with toy model
- Conclusions and outlook

# Response Functions

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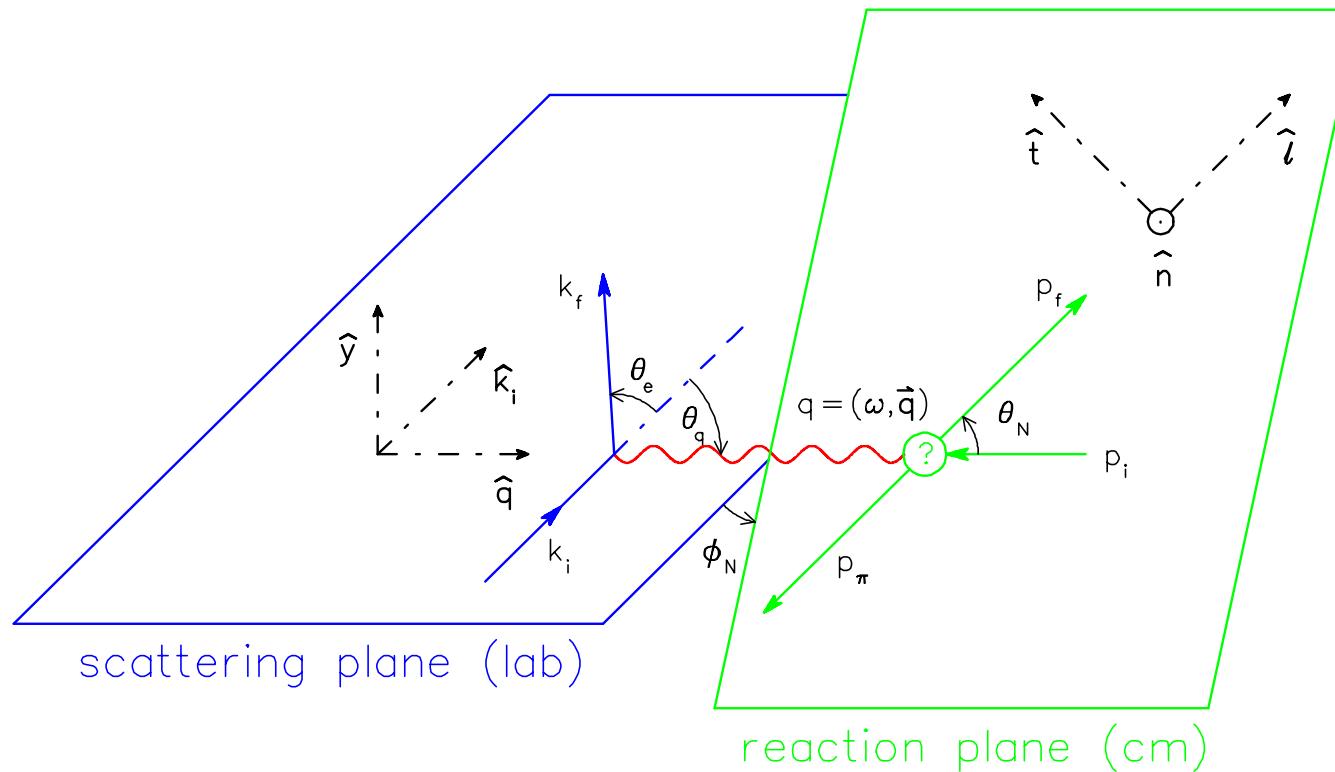
$$\frac{d^5\sigma}{dk_f d\Omega_e d\Omega_N} = \Gamma_\gamma \bar{\sigma} [1 + hA + \mathbf{S} \cdot (\mathbf{P} + h\mathbf{P}')]$$

$$\begin{aligned}\bar{\sigma} &= \nu_0 [\nu_L \mathcal{W}_L + \nu_T \mathcal{W}_T + \nu_{LT} \mathcal{W}_{LT} \cos \phi + \nu_{TT} \mathcal{W}_{TT} \cos 2\phi] \\ P_n \bar{\sigma} &= \nu_0 [\nu_L \mathcal{W}_L^n + \nu_T \mathcal{W}_T^n + \nu_{LT} \mathcal{W}_{LT}^n \cos \phi + \nu_{TT} \mathcal{W}_{TT}^n \cos 2\phi] \\ A \bar{\sigma} &= \nu_0 [\nu'_{LT} \mathcal{W}'_{LT} \sin \phi] \\ P'_n \bar{\sigma} &= \nu_0 [\nu'_{LT} \mathcal{W}'_{LT}^n \sin \phi] \\ P_m \bar{\sigma} &= \nu_0 [\nu_{LT} \mathcal{W}_{LT}^m \sin \phi + \nu_{TT} \mathcal{W}_{TT}^m \sin 2\phi] \\ P'_m \bar{\sigma} &= \nu_0 [\nu'_{LT} \mathcal{W}'_{LT}^m \cos \phi + \nu'_{TT} \mathcal{W}'_{TT}^m] \quad m \in \{\ell, t\}\end{aligned}$$

Reduced responses:  $\mathcal{W}_\eta = R_\eta \sin^{n_\eta} \theta$ ,  $n_\eta \in \{0, 1, 2\}$

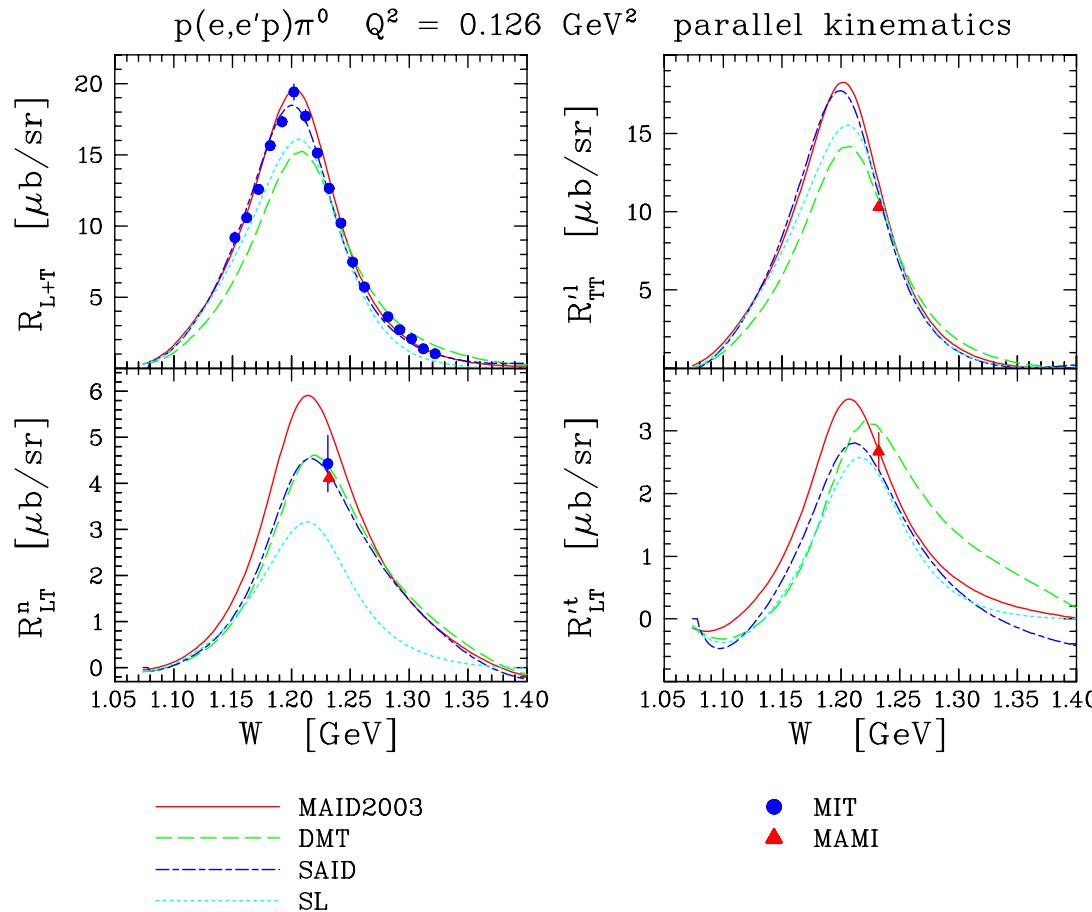
Legendre expansion:  $R_\eta = \sum_k A_k^{(\eta)} P_k(x)$   $x = \cos \theta_\pi^{cm}$

# Accessing Response Functions



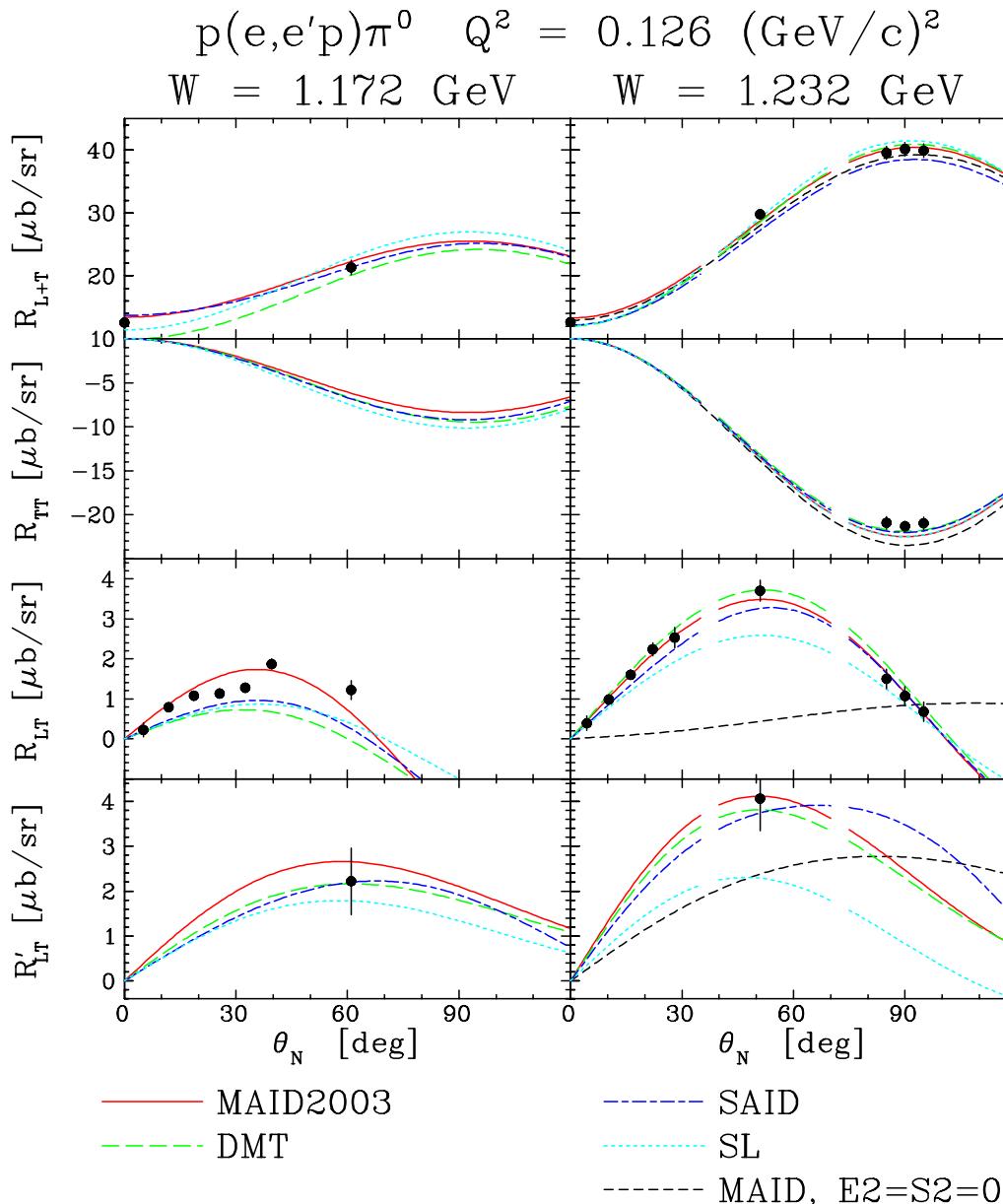
handle	real type	imaginary type
left/right	$R_{LT}, R'_{LT}^{\ell,t}, R''_{TT}^{\ell,t}$	$R_{LT}^n$
OOP	$R_{TT}, R_{LT}^n, R_{TT}^n$	$R'_{LT}, R_{LT}^{\ell,t}, R_{TT}^{\ell,t}$
Rosenbluth	$R_L, R_T$ $(\nu_T R_{L+T} = \nu_L R_L + \nu_T R_T)$	$R_L^n, R_T^n$

# Parallel kinematics, $Q^2 \approx 0.126 \text{ (GeV}/c)^2$



- Dynamical models fail on low- $W$  side of  $\Delta$
- SL underestimates  $R_{LT}^n$
- marginal failure of consistency relation

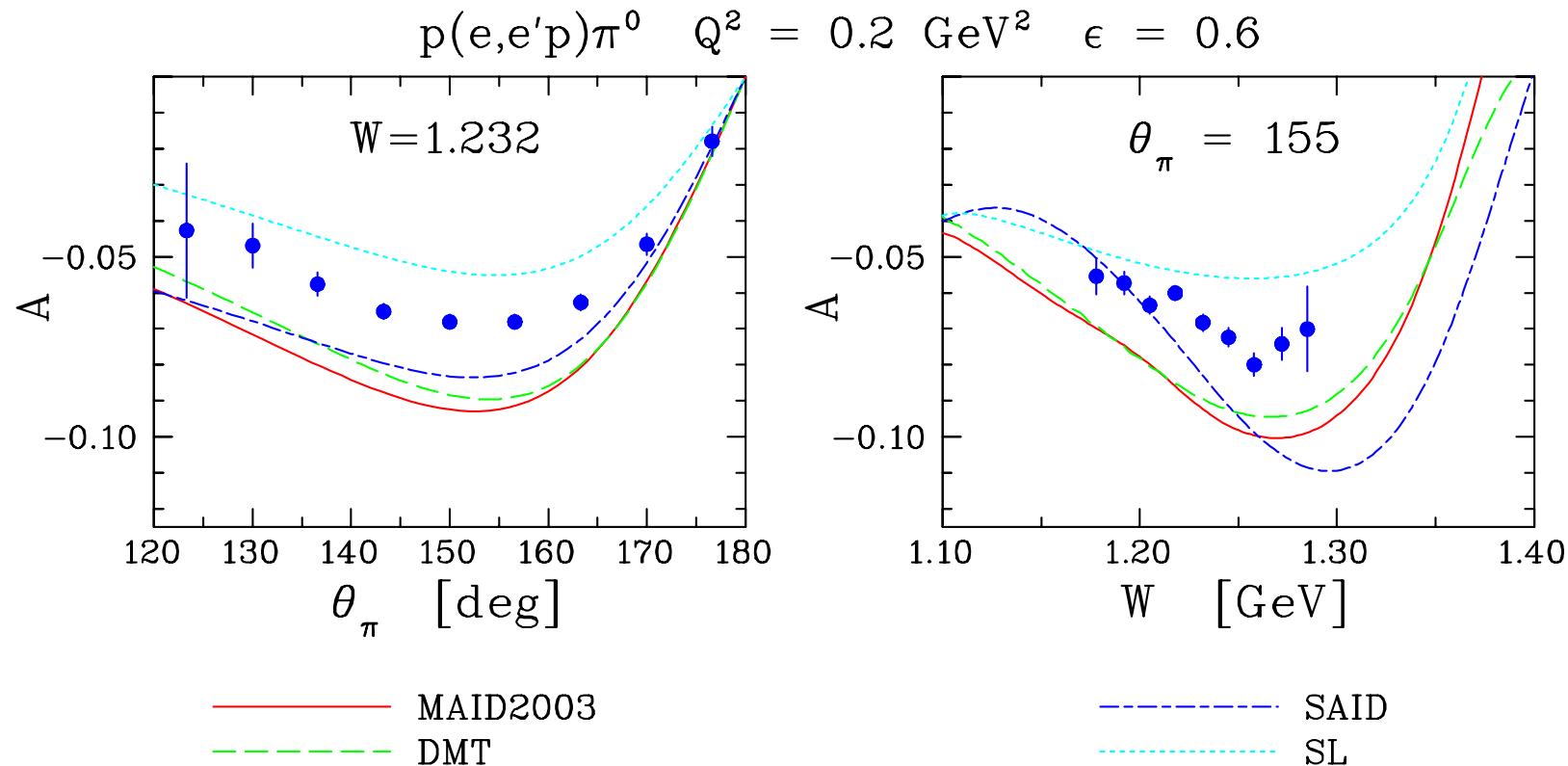
# MIT-OOPS, $Q^2 \approx 0.126 (\text{GeV}/c)^2$



- The most microscopic model (SL) has the most difficulty
- No dramatic problems in MAID, DMT, or SAID here
- $R_{LT}$  clearly requires significant  $S_{1+}$
- Limited sensitivity to  $E_{1+}$

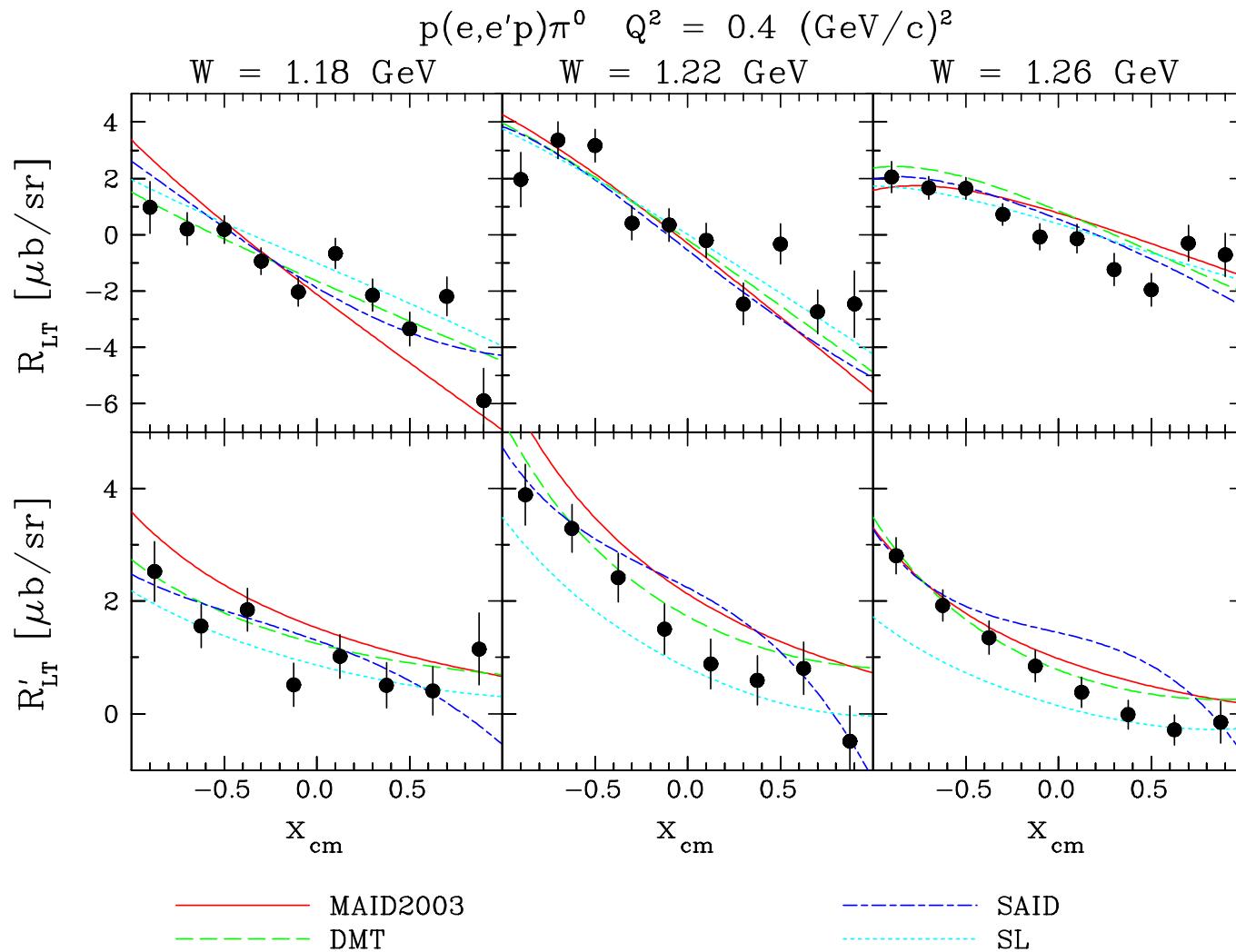
# Beam analyzing power $Q^2 \approx 0.2 \text{ (GeV}/c)^2$

Bartsch *et al.* at MAMI using spectrometer tilted out of plane



- Sensitive to nonresonant contributions and FSI.
- Considerable variation among models.

# $R_{LT}, R'_{LT}, Q^2 \approx 0.4 (\text{GeV}/c)^2$ ; Joo et al. at CLAS



- Terms beyond  $sp$  truncation  $\Rightarrow$  deviation from linearity.
- Larger variations and nonlinearities for  $R'_{LT}$  than  $R_{LT}$ .

# JLab e91011: recoil polarization in $p(\vec{e}, e'\vec{p})\pi^0$

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- spokespersons: Kelly, Sarty, Frullani, Lourie (emeritus)
- Z. Chai: cross section (MIT, 2003)
- R. Roché: recoil polarization (FSU, 2003)
- O. Gayou: final polarization analysis (MIT postdoc)
- M. Jones: analysis guru
- Hall A collaboration: cast of thousands

## Nominal kinematics:

$$1.17 \leq W \leq 1.35 \text{ GeV}$$

$$Q^2 = 1.0 \pm 0.2 \text{ (GeV/c)}^2$$

$$E_0 = 4.53 \text{ GeV}, \epsilon \approx 0.95$$

$$-1 \leq x_{\text{cm}} \leq +1$$

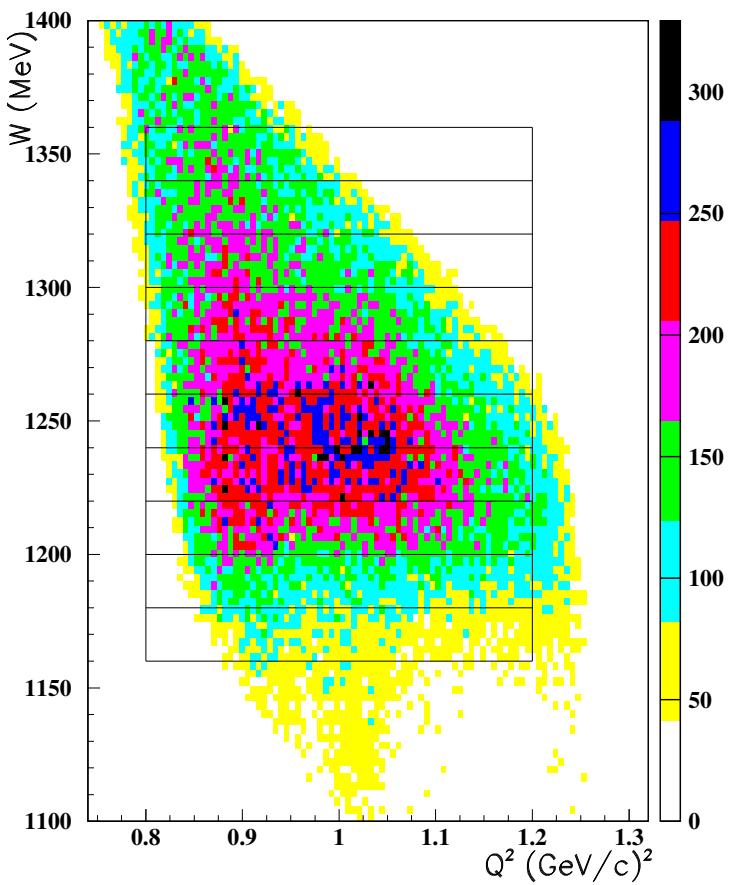
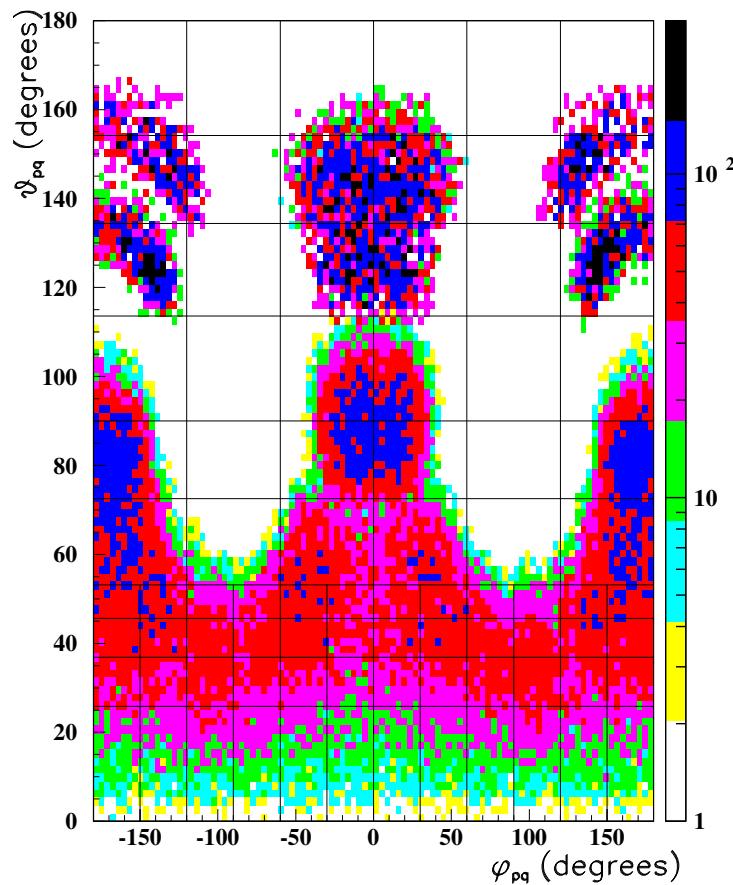
## Beam properties:

current up to  $110 \mu\text{A}$

polarization  $\approx 72\%$

duration: 36 days, 180 C

# Kinematic coverage



- Kinematic focusing  $\Rightarrow$  considerable OOP coverage.
- need to compensate for variation in  $\overline{Q^2}$
- sweet spot:  $1.21 \leq W \leq 1.29$

# Acceptance Function

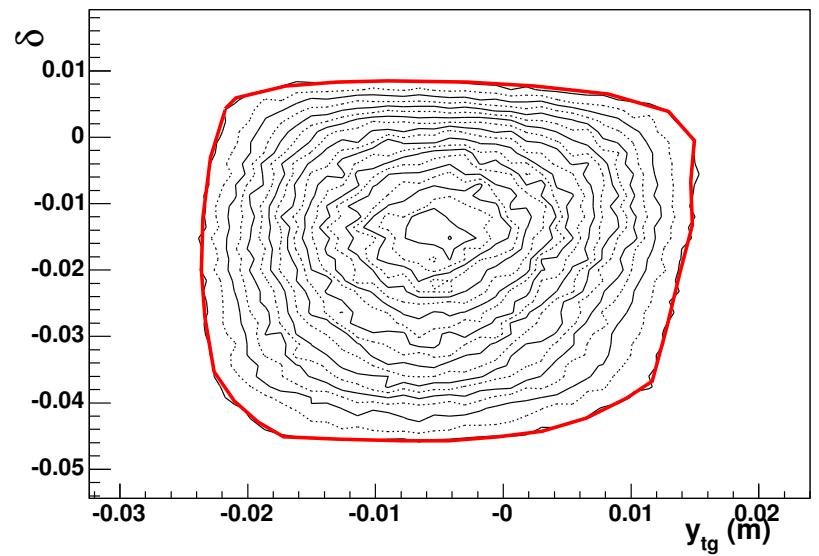
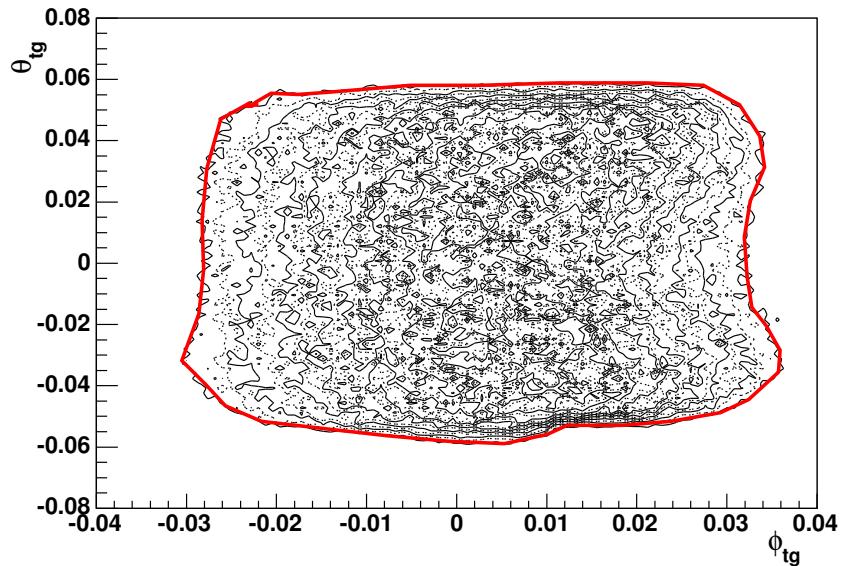
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(Z. Chai)

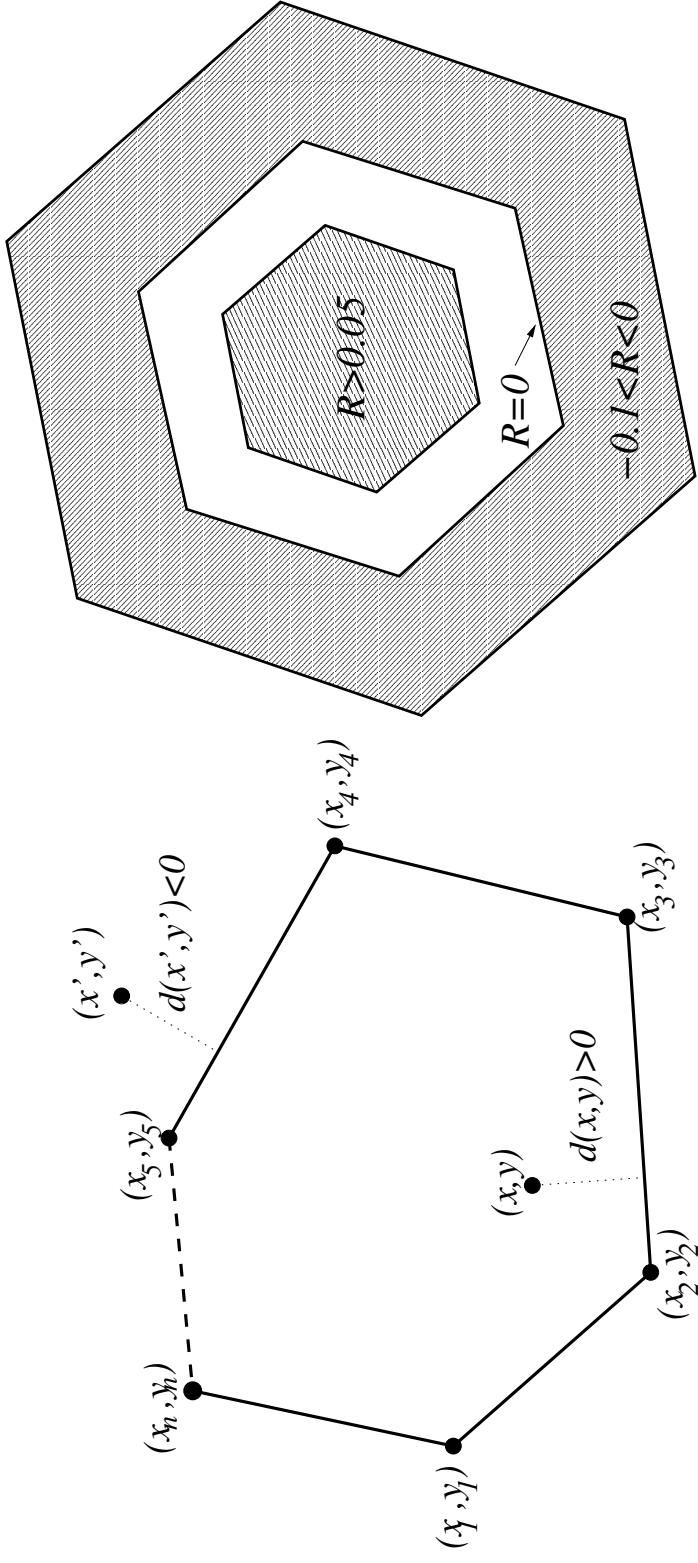
- Measure  $(x, y, \theta\phi)_{fp}$  at focal plane  $\Rightarrow$  reconstruct  $(\delta, y, \theta, \phi)_{tgt}$  at target.
- Some trajectories in 4-dimensional volume of target variables strike apertures or miss detectors.
- Use coincidence data to define acceptance function  $R$ .
  - advantage: suppress accidentals
  - disadvantage: depends upon kinematics
- Two-dimensional projections
  - 4 variables  $\Rightarrow$  6 two-dimensional projections for each spectrometer
  - draw polygonal boundaries, scale to equal area

# Sample projections

---



# distance from border



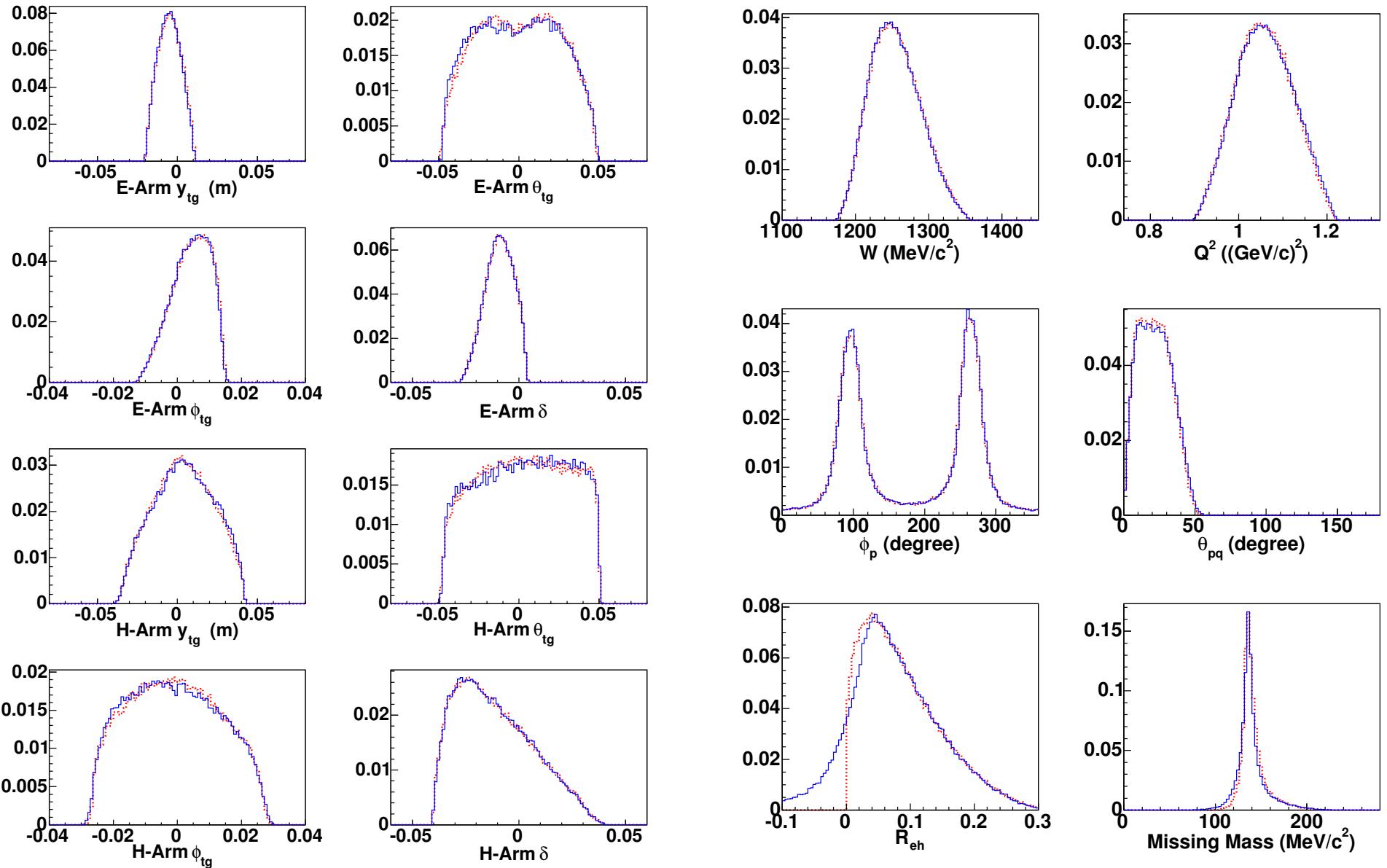
- Define  $R_i$  as distance to nearest segment; determine whether inside or outside
- For each spectrometer, define  $R = \pm \min R_i$  as positive for all  $R_i$  inside, negative if any outside.
- Expect constant detection probability for  $R > R_{cut}$  sufficiently far from any edge.

# Simulation

---

- EPIPROM event generator in MCEEP using
  - MAID2000 cross section weighting
  - $R$ -function acceptance
  - additional smearing at FP
  - radiative corrections
- Choose  $R_{cut}$  on data/theory plateau
- Good reproduction of kinematic distributions
  - angle offset determined by missing mass is consistent with survey

# Acceptance Simulation



# Cross section calculation

---

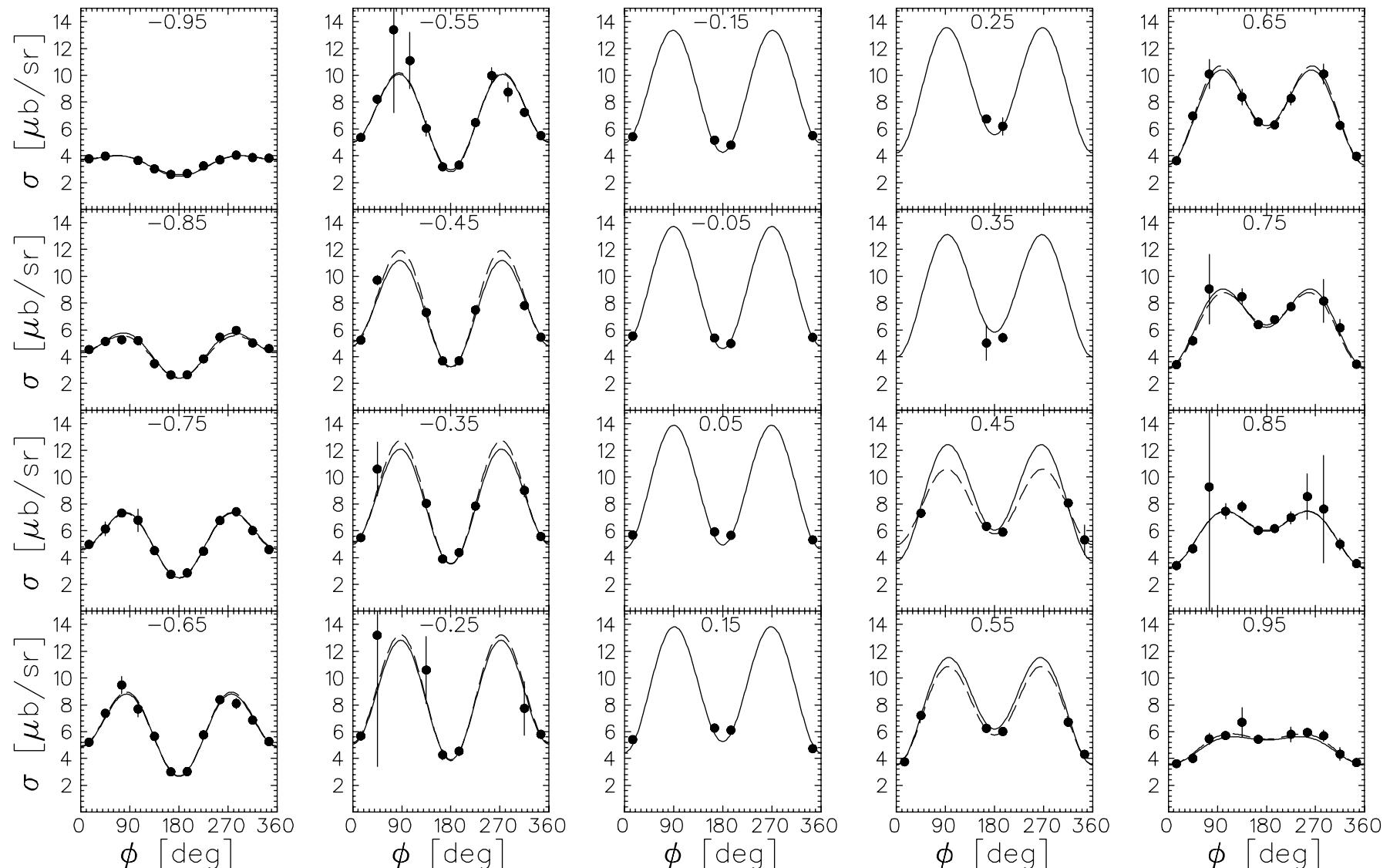
Simulation corrects for acceptance averaging, such that

$$\bar{\sigma} = \frac{Y}{Y_{\text{MC}}} \bar{\sigma}_{\text{model}} \frac{f_{\text{CDT}} f_{\text{EDT}} f_{\text{abs}}}{\epsilon_{\text{trigger}} \epsilon_{\text{track}}}$$

gives cross section for *nominal kinematics* at bin center.

- $Y$  = experimental yield corrected for background
- $Y_{\text{MC}}$  = simulated yield for model  $\bar{\sigma}_{\text{model}}$
- $f_{\text{CDT}}$  = computer dead time ( $\sim 1.1$ )
- $f_{\text{EDT}}$  = electronic dead time ( $\sim 1.1 @ 1 \text{ MHz}$ )
- $f_{\text{abs}}$  = absorption in target & windows ( $\sim 1.01$ )
- $\epsilon_{\text{trigger}}$  = trigger efficiency ( $\sim 97\%$ )
- $\epsilon_{\text{track}}$  = tracking efficiency (0.85 – 1 per arm)

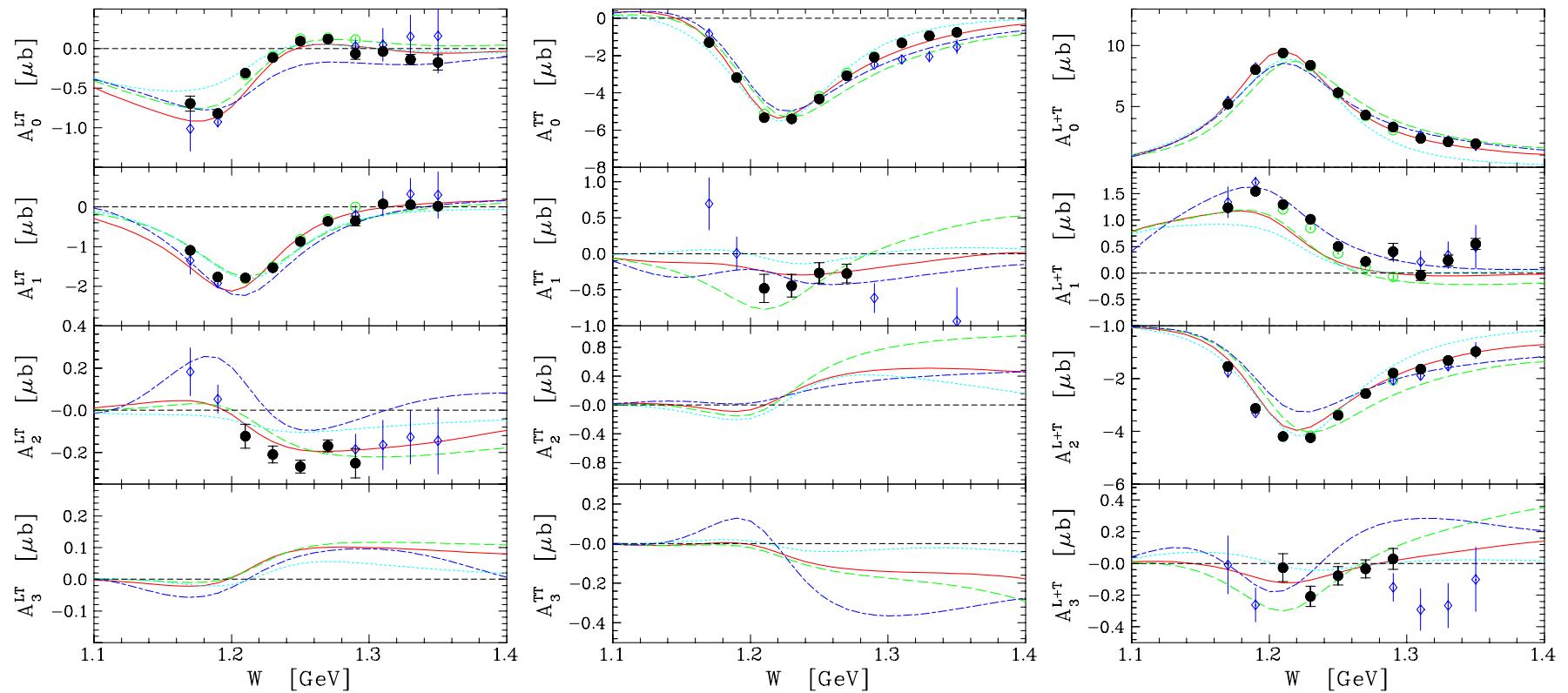
# Differential cross section



dashed: extract responses

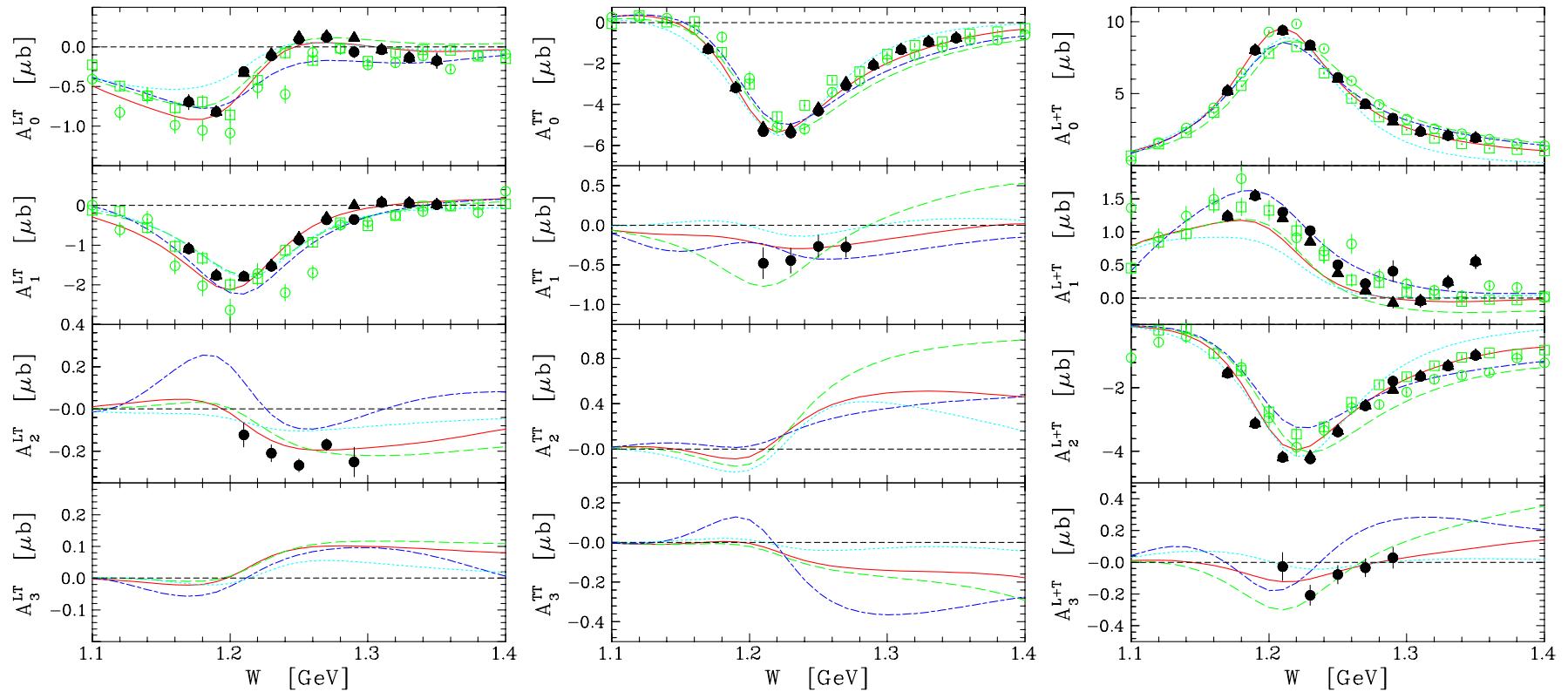
solid: Legendre

# Legendre for cross section



- Reasonable agreement with models.
- Evidence for terms beyond  $sp$  truncation.
- Unanticipated structure in  $A_1^{L+T}$  for  $W > 1.3$  GeV?

# Comparison with CLAS



- Use dipole form factor to scale CLAS data for  $Q^2 = 0.90, 1.15$  to  $Q^2 = 1 \text{ (GeV}/c)^2$ .
- Generally good agreement with CLAS.
- Better statistics but less kinematic coverage.

# Traditional quadrupole analysis

Assume:

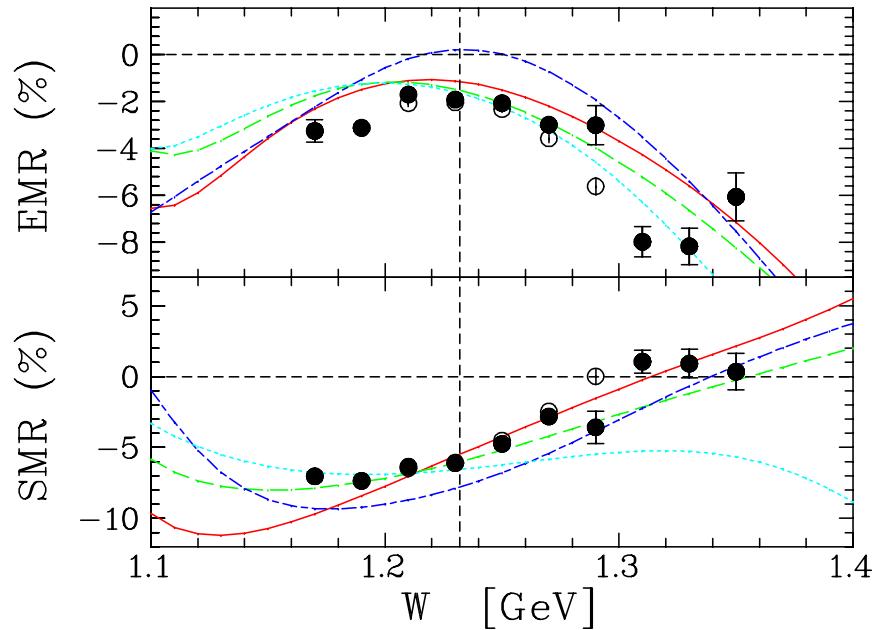
- $sp$  truncation
- $M_{1+}$  dominance

$$\tilde{R}_{EM} = \frac{3A_2^{L+T} - 2A_0^{TT}}{12A_0^{L+T}}$$

$$\tilde{R}_{SM} = \frac{A_1^{LT}}{3A_0^{L+T}}$$

method	SMR, %	EMR, %	$\chi^2_\nu$	$\chi^2_N(\sigma)$
$sp$	$-6.07 \pm 0.11$	$-2.04 \pm 0.13$	1.7	1.6
$sp+$	$-6.11 \pm 0.11$	$-1.92 \pm 0.14$	1.5	1.4
CLAS <sup>a</sup>	$-7.4 \pm 0.4$	$-1.8 \pm 0.4$		

Same formulas, theory and data.



<sup>a</sup>Average of  $Q^2 = 0.9$  ( $\text{GeV}/c$ ) $^2$  for  $E_i = 1.645$  and  $2.445$  GeV.

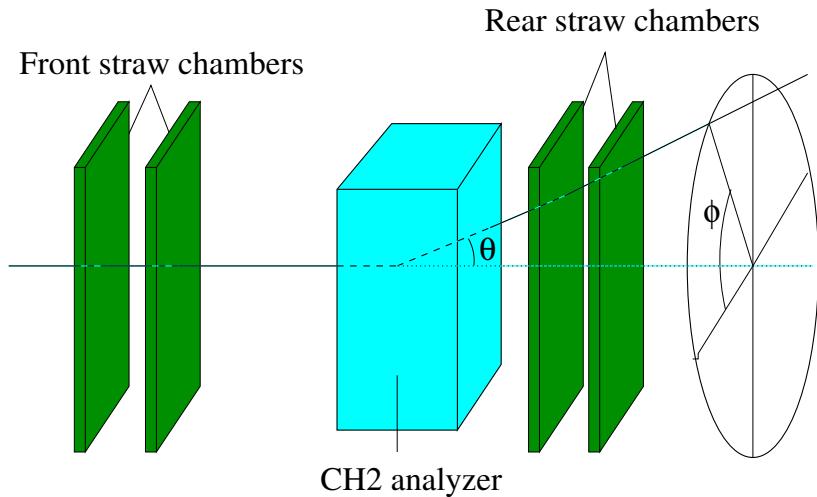
# Reliability of Legendre method

Project Legendre coefficients from truncated multipole expansions and compute transitional EMR,SMR estimators.

model	$R_{SM}^{(p\pi^0)}$	$\tilde{R}_{SM}^{(p\pi^0)}$	$\tilde{R}_{SM}^{(p\pi^0)}$	$R_{EM}^{(p\pi^0)}$	$\tilde{R}_{EM}^{(p\pi^0)}$	$\tilde{R}_{EM}^{(p\pi^0)}$
	$\ell_\pi \leq 1$	$\ell_\pi \leq 5$	$\ell_\pi \leq 1$		$\ell_\pi \leq 1$	$\ell_\pi \leq 5$
Legendre			-6.11			-1.92
MAID2003	-6.73	-6.37	-5.63	-1.65	-0.57	-1.12
DMT	-7.21	-6.77	-6.10	-1.77	-0.70	-1.47
SAID	-8.71	-7.78	-7.88	+0.17	+1.96	+0.22
SL	-6.59	-6.69	-6.58	-2.29	-1.29	-1.58

Neither  $sp$  truncation nor  $M_{1+}$  dominance supported by models! Need more complete data for multipole fit.

# Maximum-likelihood method for responses



$S_{\alpha\beta}$  spin transport matrix

$A$  analyzing power

$\xi$  false asymmetry

$\bar{\sigma}$  from Legendre fit

$$L = \prod_{\text{events}} \frac{1}{2\pi} (1 + \xi - \varepsilon_x \sin \phi_{\text{fpp}} + \varepsilon_y \cos \phi_{\text{fpp}})$$

$$\varepsilon_\alpha = \xi_\alpha + A(\theta_{\text{fpp}}) \sum_\beta S_{\alpha\beta} \Pi_\beta$$

$$\bar{\sigma} \Pi_\beta = \sum_\eta \nu_{\beta\eta}(P_e, \epsilon, \theta, \phi) R_\eta$$

Maximal use of data. No binning in  $\phi$  or  $\phi_{\text{fpp}}$ .

# Spin rotation

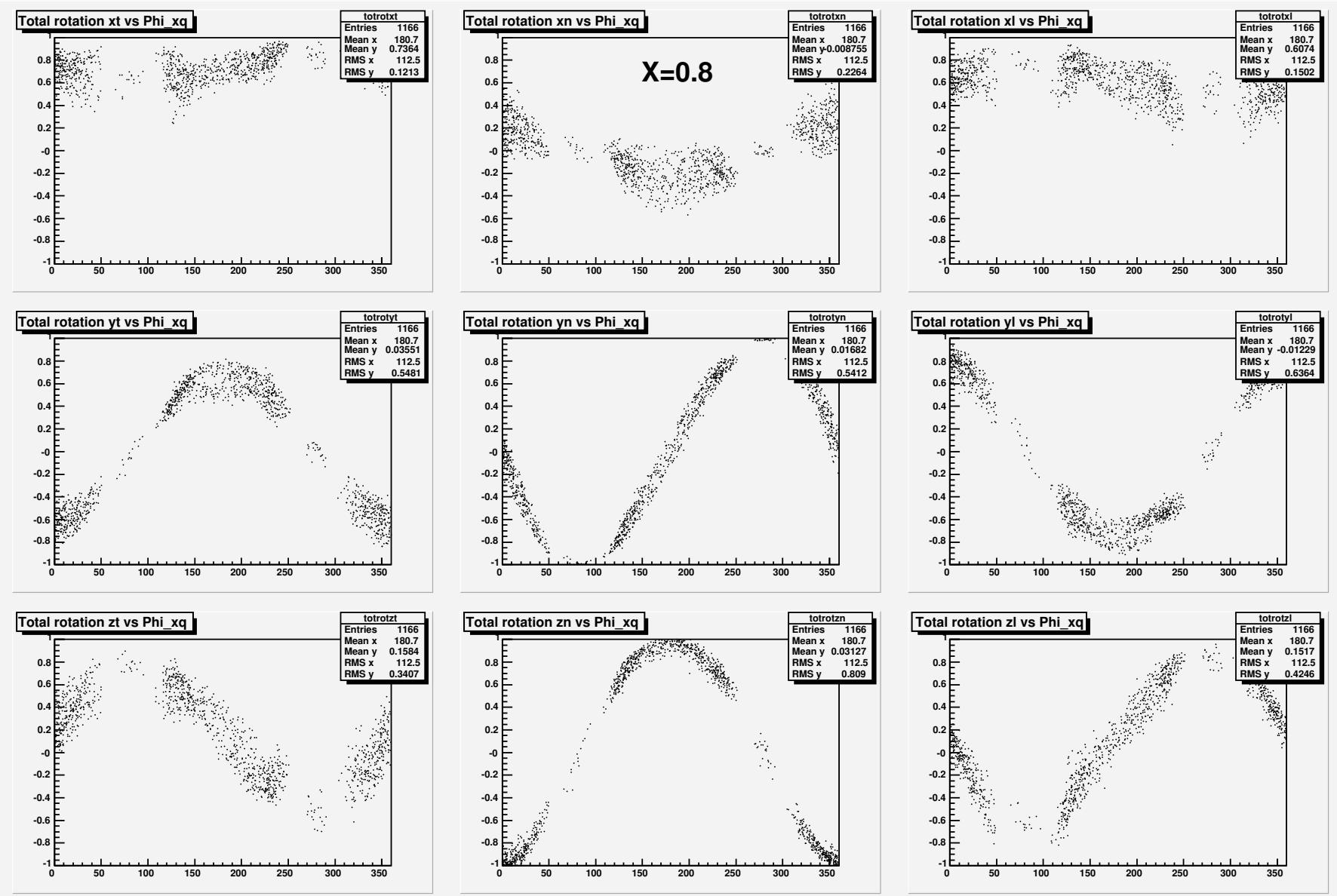
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$$\begin{pmatrix} P_x \\ P_y \\ P_z \end{pmatrix}_{\text{FPP}} = R_{\text{fpp}} C R_{\text{spectrometer}} R_{\text{hall}} R_W \begin{pmatrix} \Pi_t \\ \Pi_n \\ \Pi_\ell \end{pmatrix}_{\pi N cm}$$

- $R_W$ : Wigner rotation from  $cm \rightarrow lab$
- $R_{\text{hall}}$ : to hall basis
- $R_{\text{spectrometer}}$ : to the spectrometer basis
- $C$ : COSY transport through spectrometer
- $R_{\text{fpp}}$ : to the local FPP coordinate system

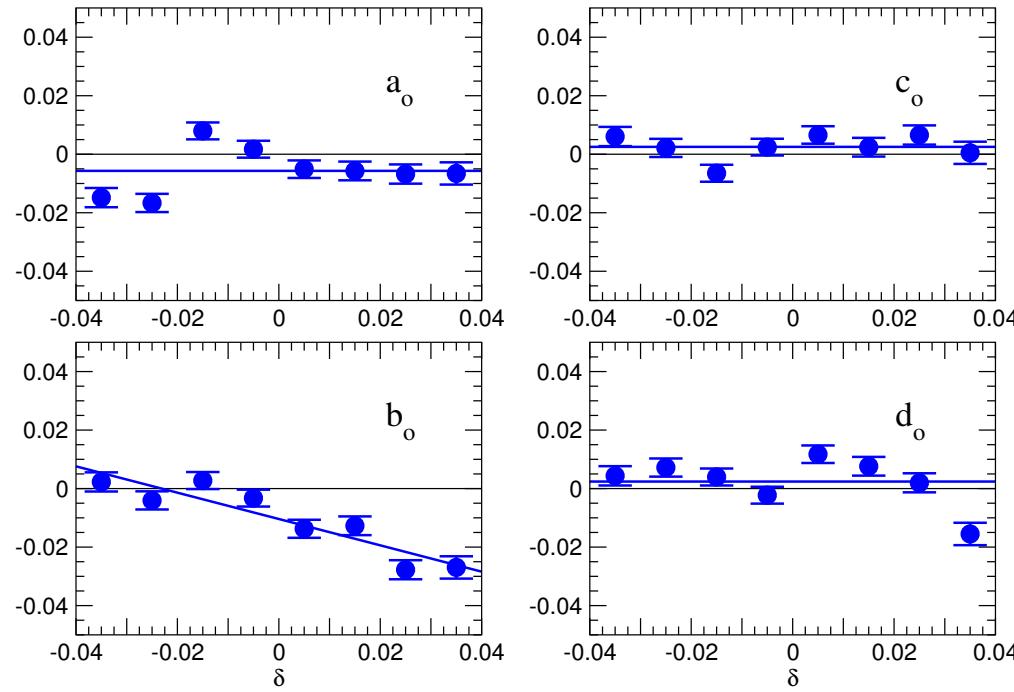
Evaluated event-by-event.

# Spin rotation matrix



# False asymmetry

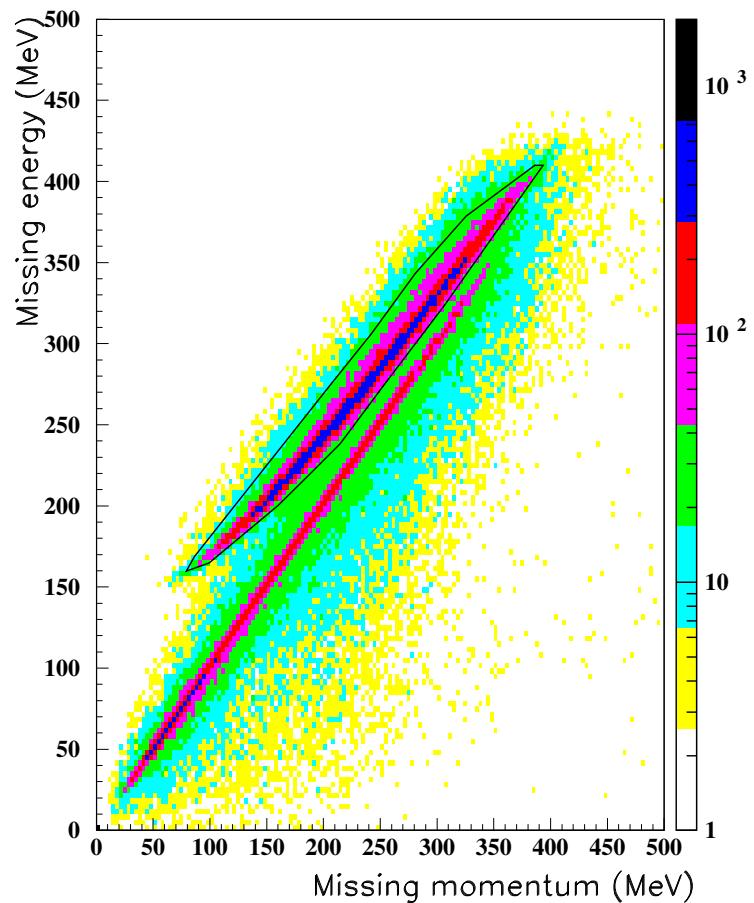
$$\xi = a_0 \sin \phi_{\text{fpp}} + b_0 \cos \phi_{\text{fpp}} + c_0 \sin 2\phi_{\text{fpp}} + d_0 \cos 2\phi_{\text{fpp}}$$



- calibrate assuming helicity-independent polarization vanishes for elastic scattering
- depends primarily on  $\delta$ , not  $p$
- small effects with little systematic uncertainty**

# Elastic subtraction

$$\varepsilon_\alpha = A_y(\theta_{\text{fpp}}) \sum_\beta (f_1 S_{\alpha\beta}^{(1)} T_\beta^{(1)} + f_2 S_{\alpha\beta}^{(2)} T_\beta^{(2)})$$



- elastic contribution,  $f_2$ , visible only for  $\theta_{pq} = -50^\circ, -90^\circ$
- compute elastic polarization,  $T^{(2)}$ , using form factors
- effect on  $N \rightarrow \Delta$  responses minimal

# Bin centering for responses

---

Acceptance-averaged ( $\bar{W}, \bar{Q^2}$ ) depend upon  $\bar{x}$ ; desired quantities (multipoles or Legendre coefficients) do not.

- increases scatter wrt to fit
- could artificially enhance higher partial waves
- angular dependence of  $\bar{Q^2}$  most important for this experiment

Additive method:

$$R(W, Q^2, \bar{x}, \bar{\epsilon}) = R(\bar{W}, \bar{Q^2}, \bar{x}, \bar{\epsilon}) - \frac{\partial R}{\partial W}(\bar{W} - W) - \frac{\partial R}{\partial Q^2}(\bar{Q^2} - Q^2)$$

Multiplicative method:

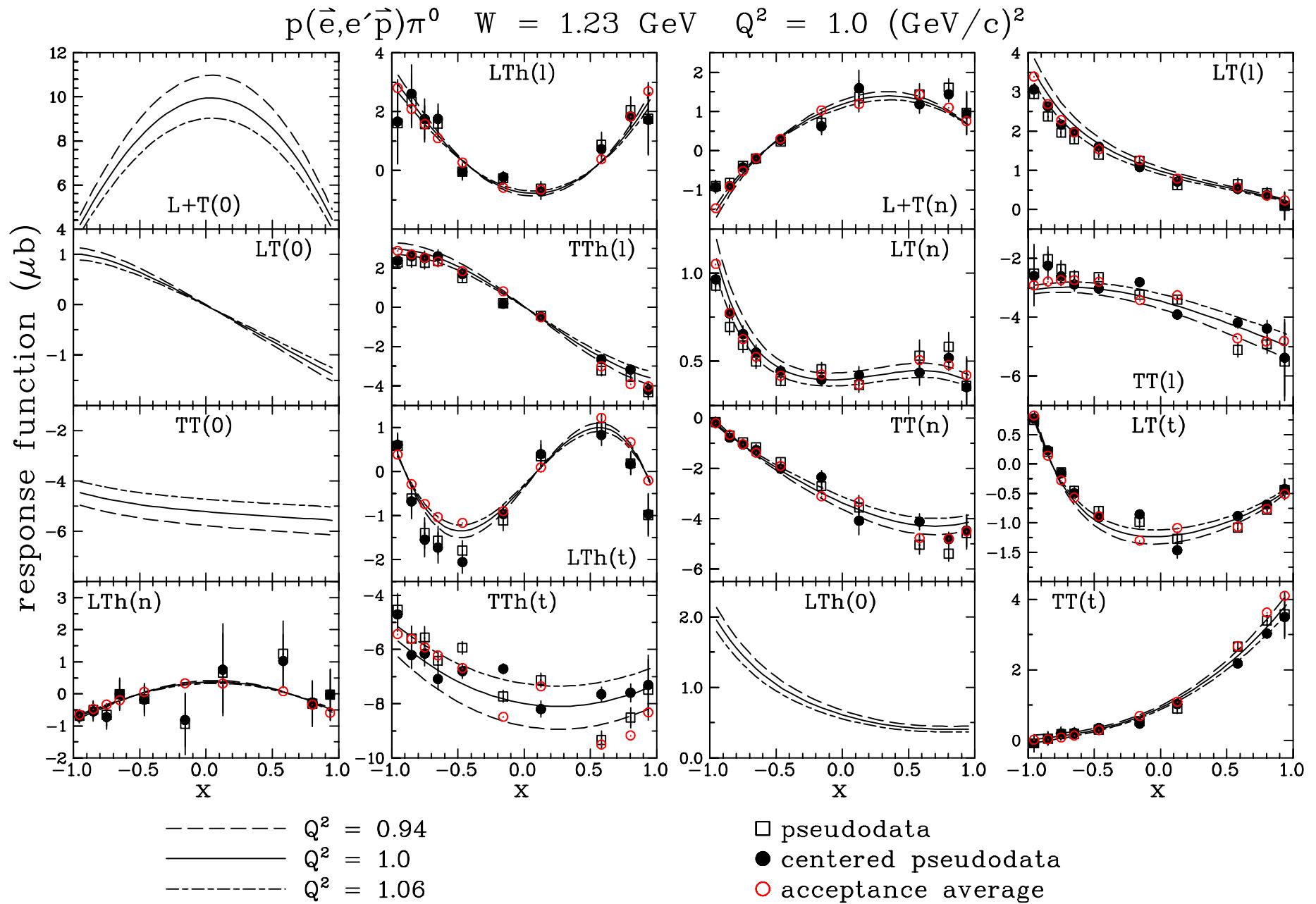
$$R(W, Q^2, \bar{x}) = R(\bar{W}, \bar{Q^2}, \bar{x}) \left( G(Q^2)/G(\bar{Q^2}) \right)^2$$

# Pseudodata test method

---

- use **data** to sample kinematical distributions and acceptance accurately; accumulate acceptance-averaged kinematics
- use **model** to compute polarization at target for each event; accumulate acceptance-averaged response functions and polarization
- transport polarization to FPP, use observed  $\theta_{\text{fpp}}$
- use rejection method to sample  $\phi_{\text{fpp}}$  randomly with distribution based upon model polarization
- apply maximum likelihood method to  $\phi_{\text{fpp}}$  pseudodata
- compare  $\max(L)$  responses with model at both nominal and acceptance-averaged kinematics

# Pseudodata results



# Pseudodata conclusions

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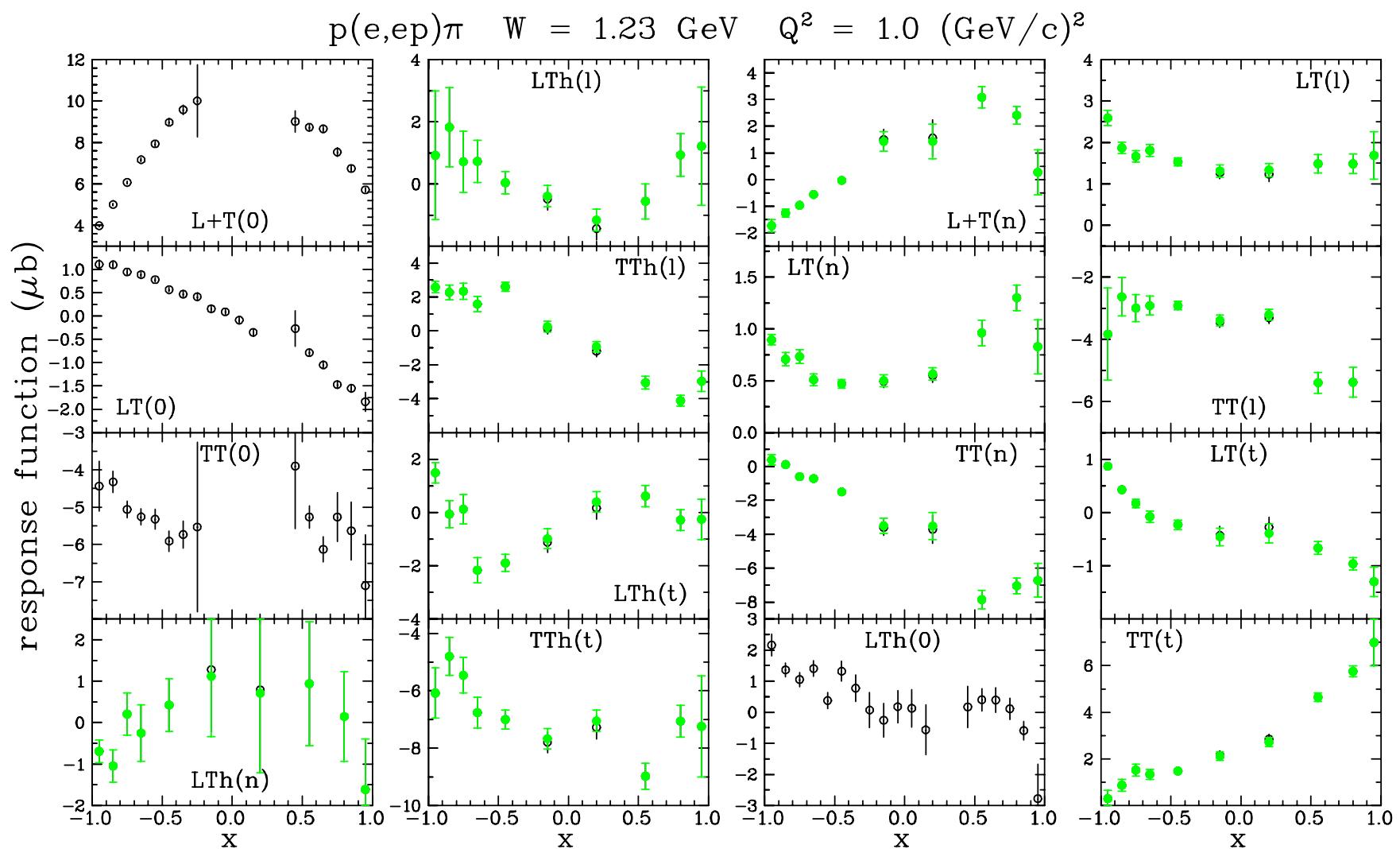
- analysis more reliable for responses than polarizations
  - dispersion in spin-transport causes problems for binning wrt  $\phi$
- fluctuations in pseudodata correlated with differences between nominal and acceptance-averaged kinematics
- $(\overline{Q^2} - Q^2)$  more important than  $(\overline{W} - W) \implies$  multiplicative bin centering sufficient
- little sensitivity to model for bin-centering form factor
- method fundamentally sound

# Systematic uncertainties in responses

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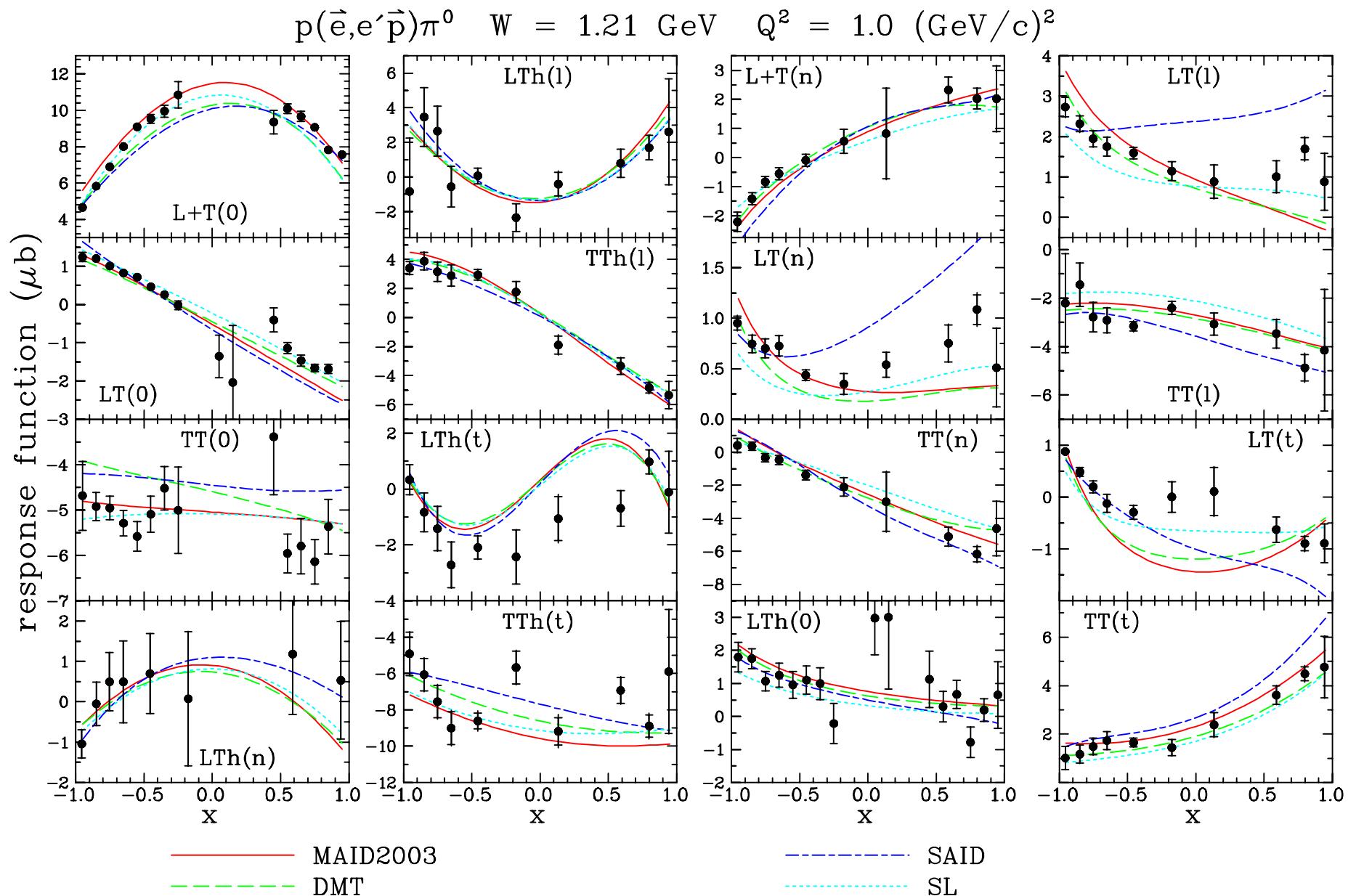
- normalization uncertainties
  - cross section,  $\pm 3\%$
  - beam polarization,  $\delta P_e/P_e \approx \pm 1.4\%$
  - analyzing power,  $\delta A_y/A_y \approx \pm 2\%$
- estimated by replay or fit with perturbation
  - elastic contamination,  $\delta f_{el}/f_{el} \sim 1$
  - false asymmetry,  $\delta\xi/\xi \approx 0.1$
  - spin rotation, 6 parameters for Pentchev geometrical approximation
  - bin centering: compare dipole vs. Sato-Lee form factor

# Pentchev versus COSY

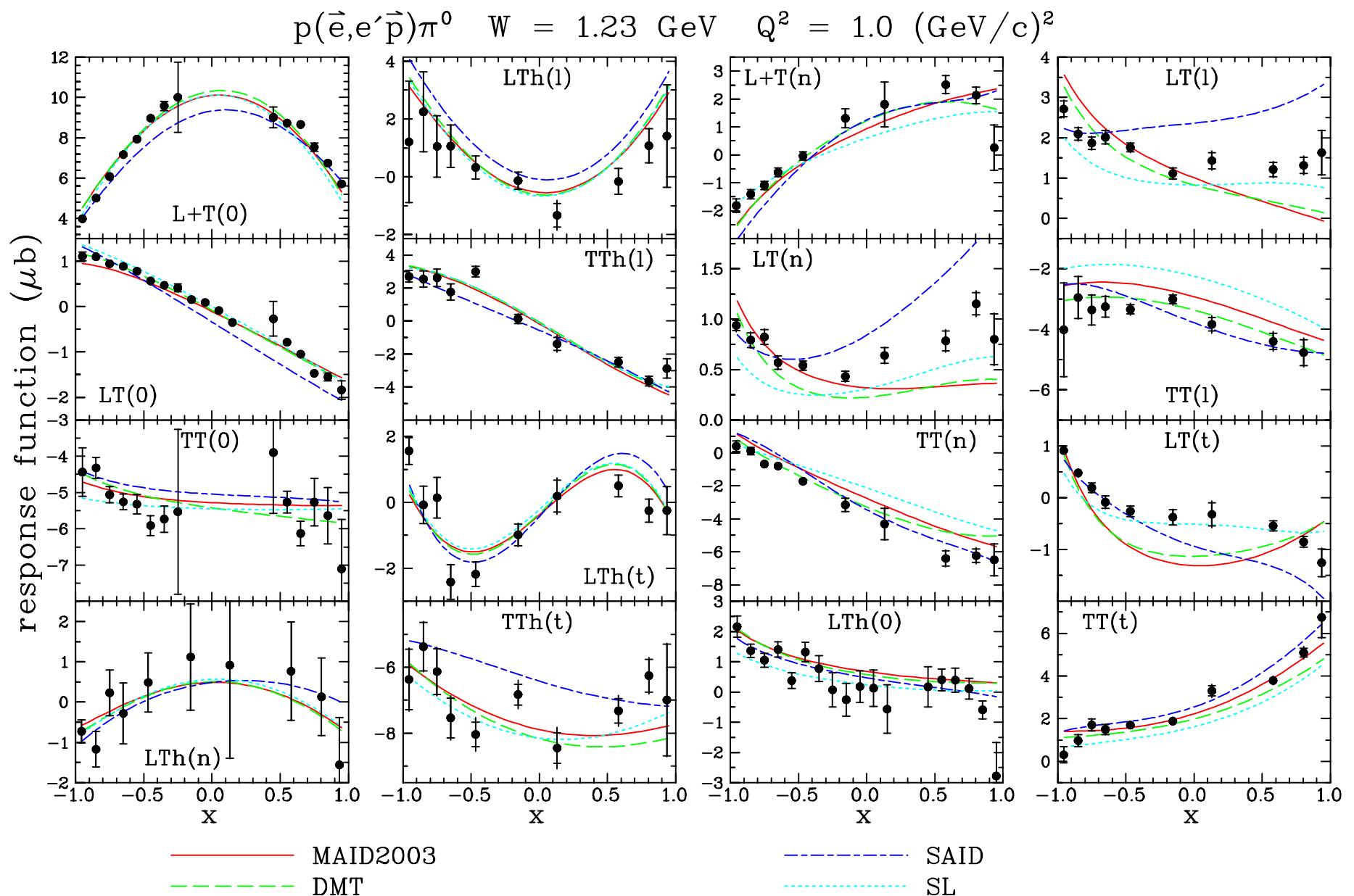


Pentchev geometrical model very similar to COSY; suitable for analysis of systematic uncertainties due to spin rotation

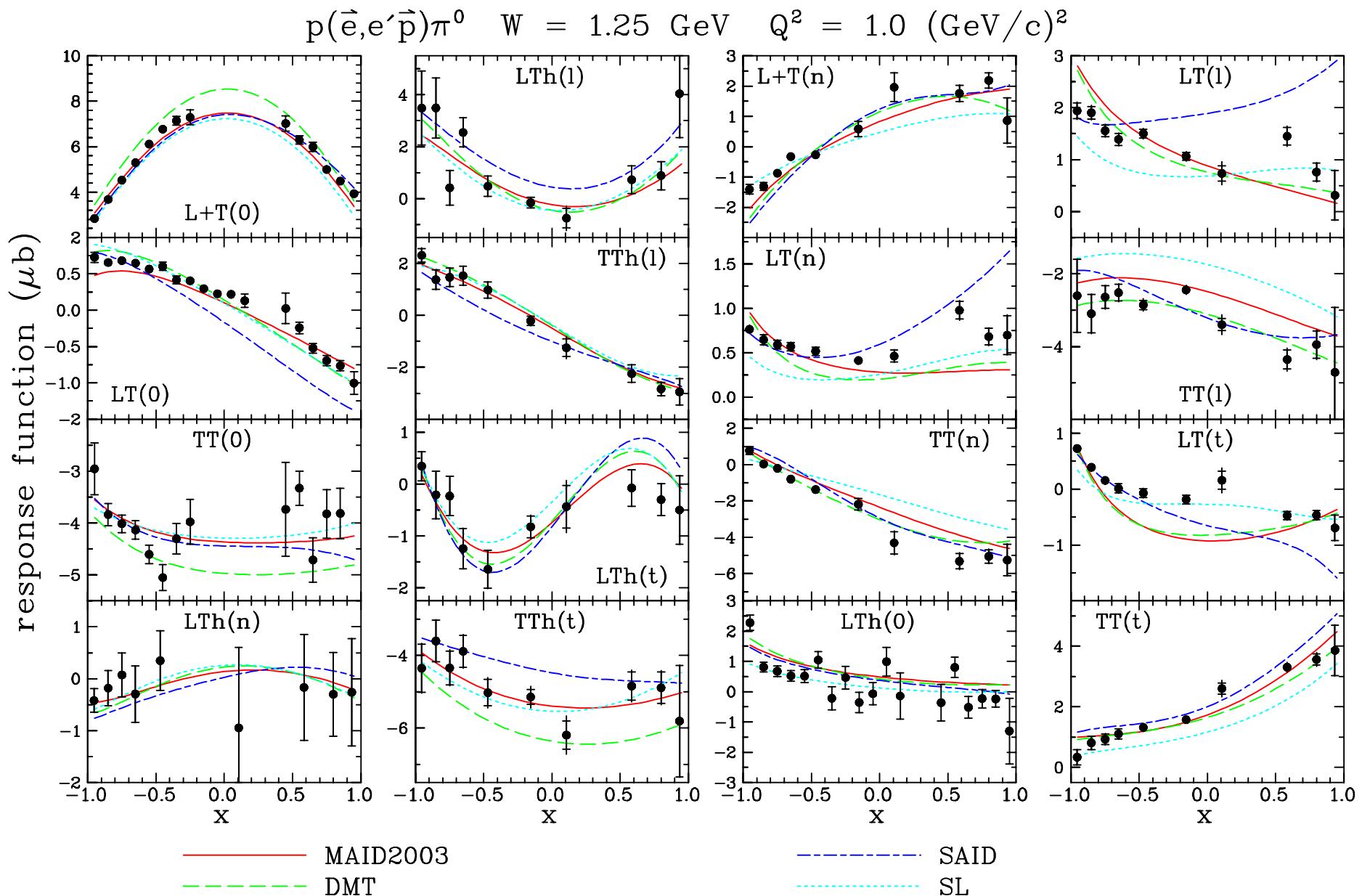
# Model responses, $W=1.21$



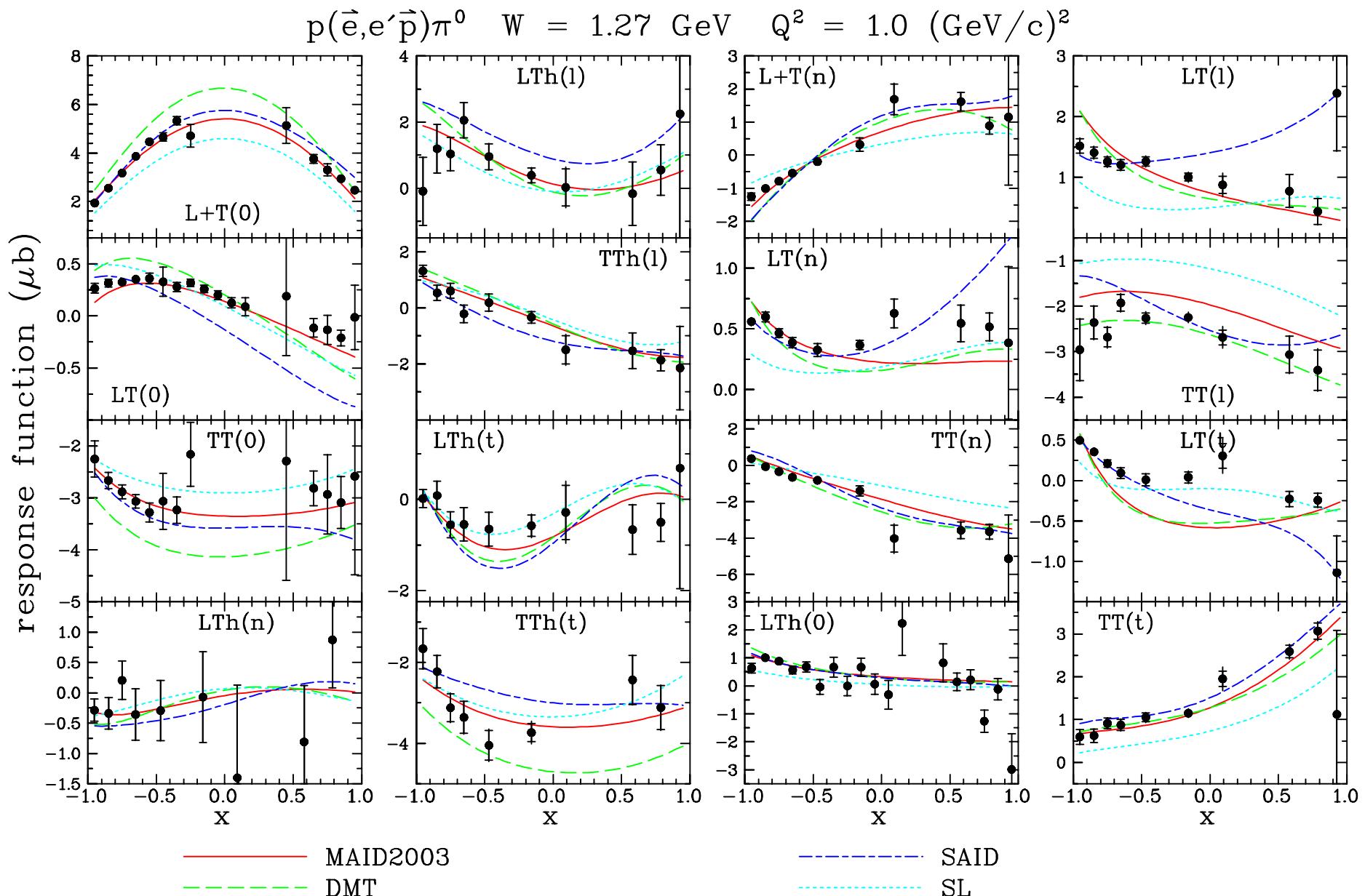
# Model responses, $W=1.23$



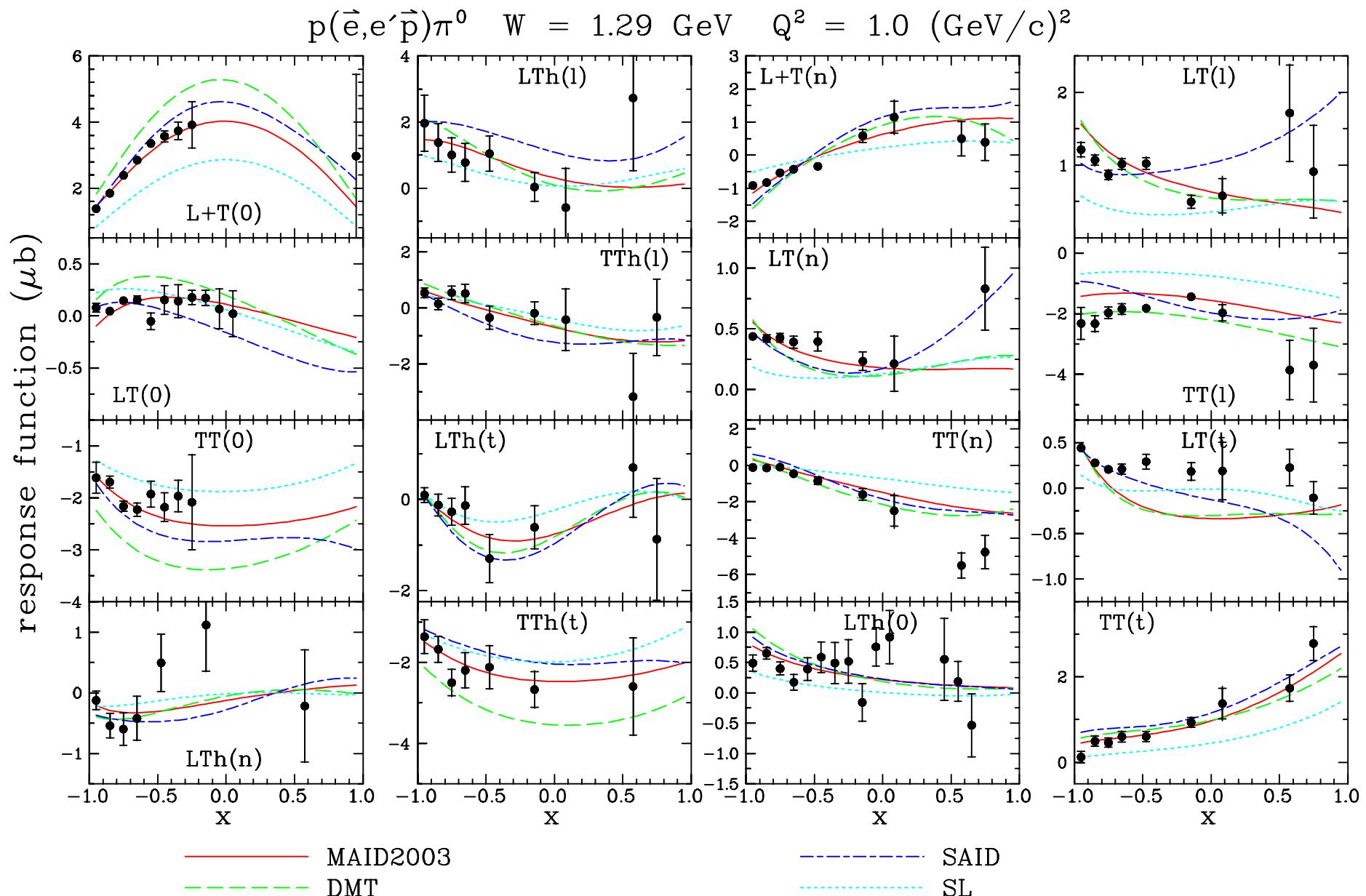
# Model responses, $W=1.25$



# Model responses, $W=1.27$



# Model responses, $W=1.29$

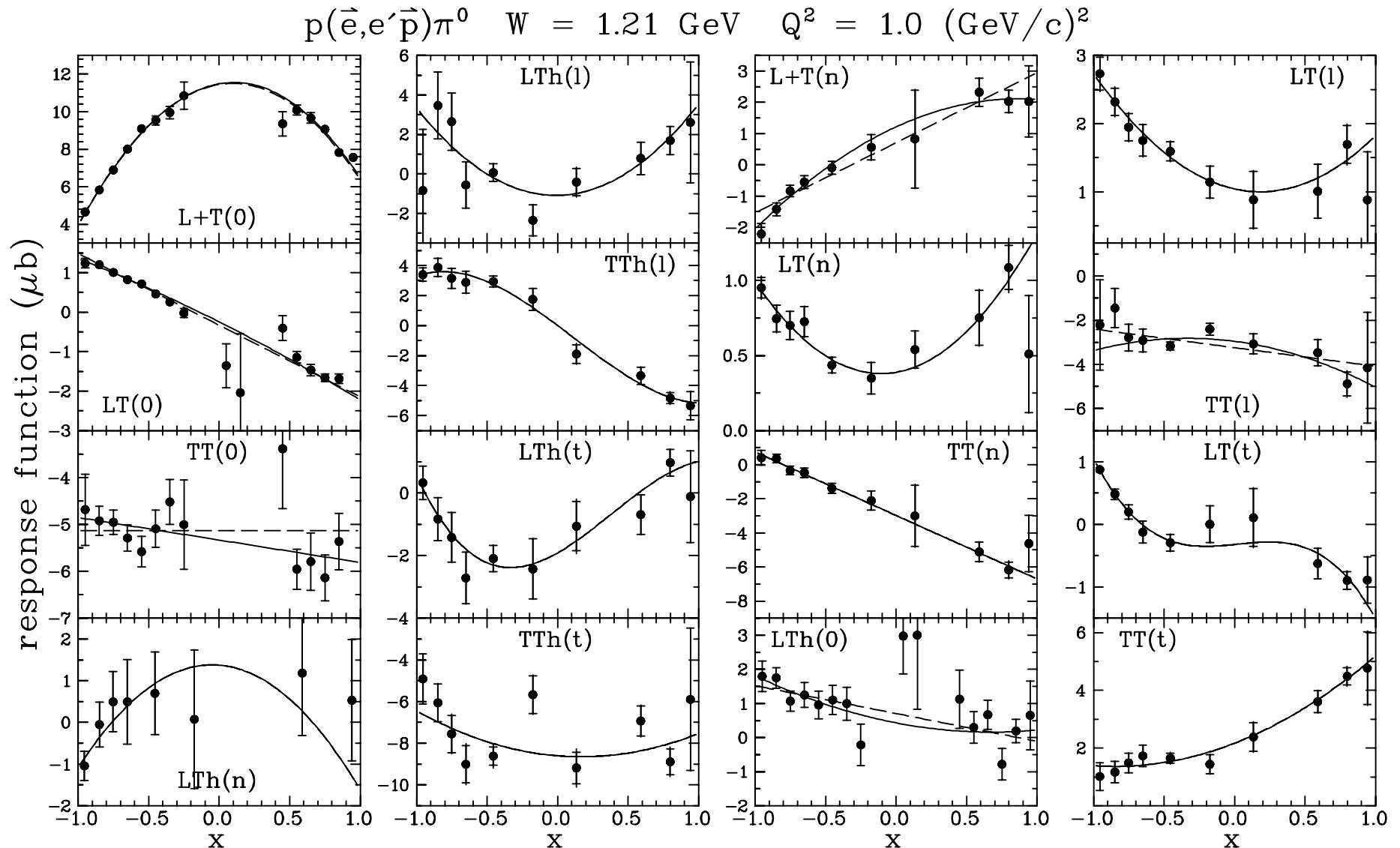


# Summary of responses

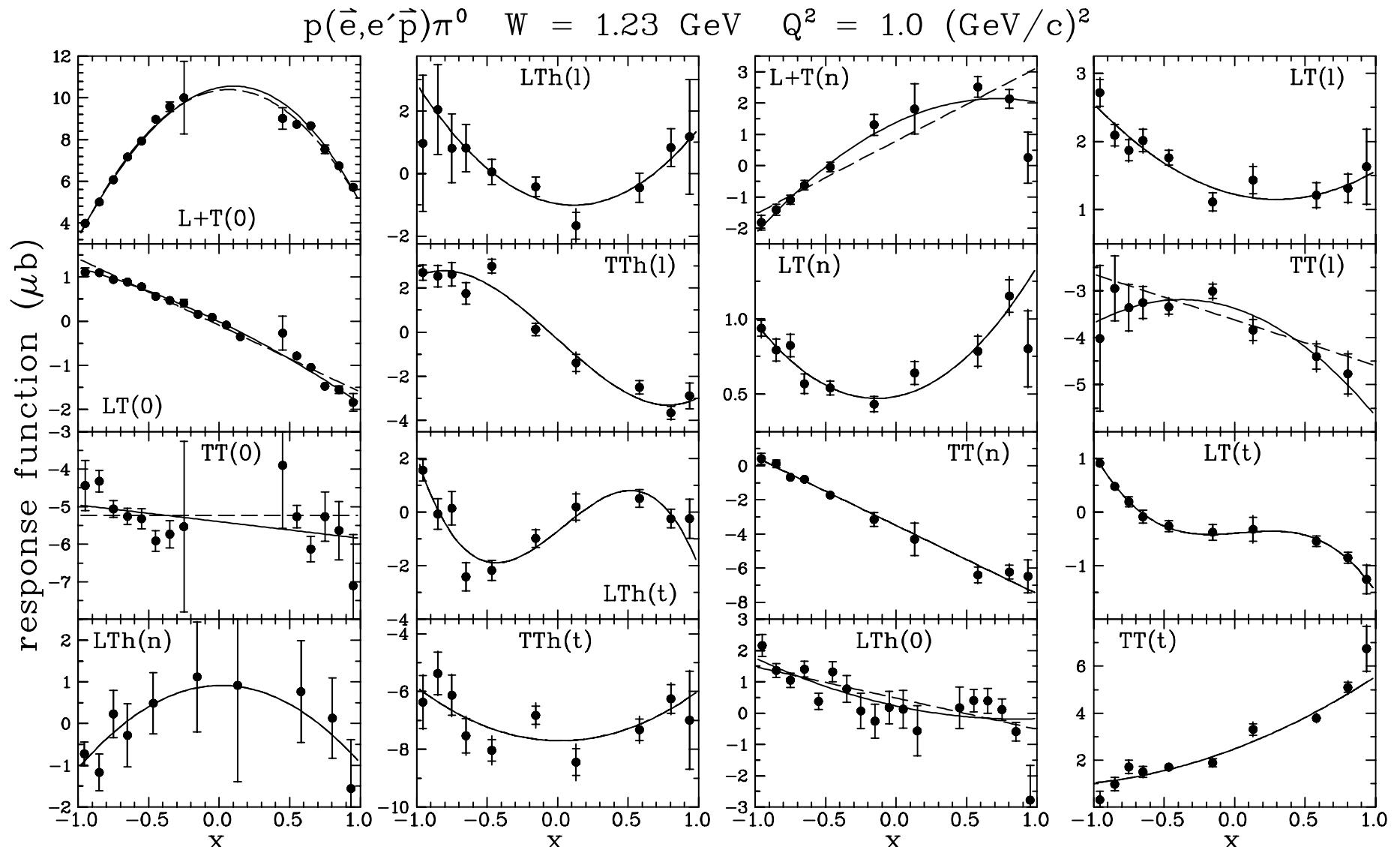
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- angular distributions for 14 responses and 2 Rosenbluth combinations:
  - 12 observed for first time
  - columns 1-2, depending upon  $\text{Re}H_i H_j^*$ , show relatively small model variations
  - columns 3-4, depending upon  $\text{Im}H_i H_j^*$ , show rather large model variations
  - MAID generally most successful of these models
- 10  $W$  bins, responses scaled to  $Q^2 = 1$  using dipole form factor
- systematic errors rarely visible

# Legendre analysis, W=1.21

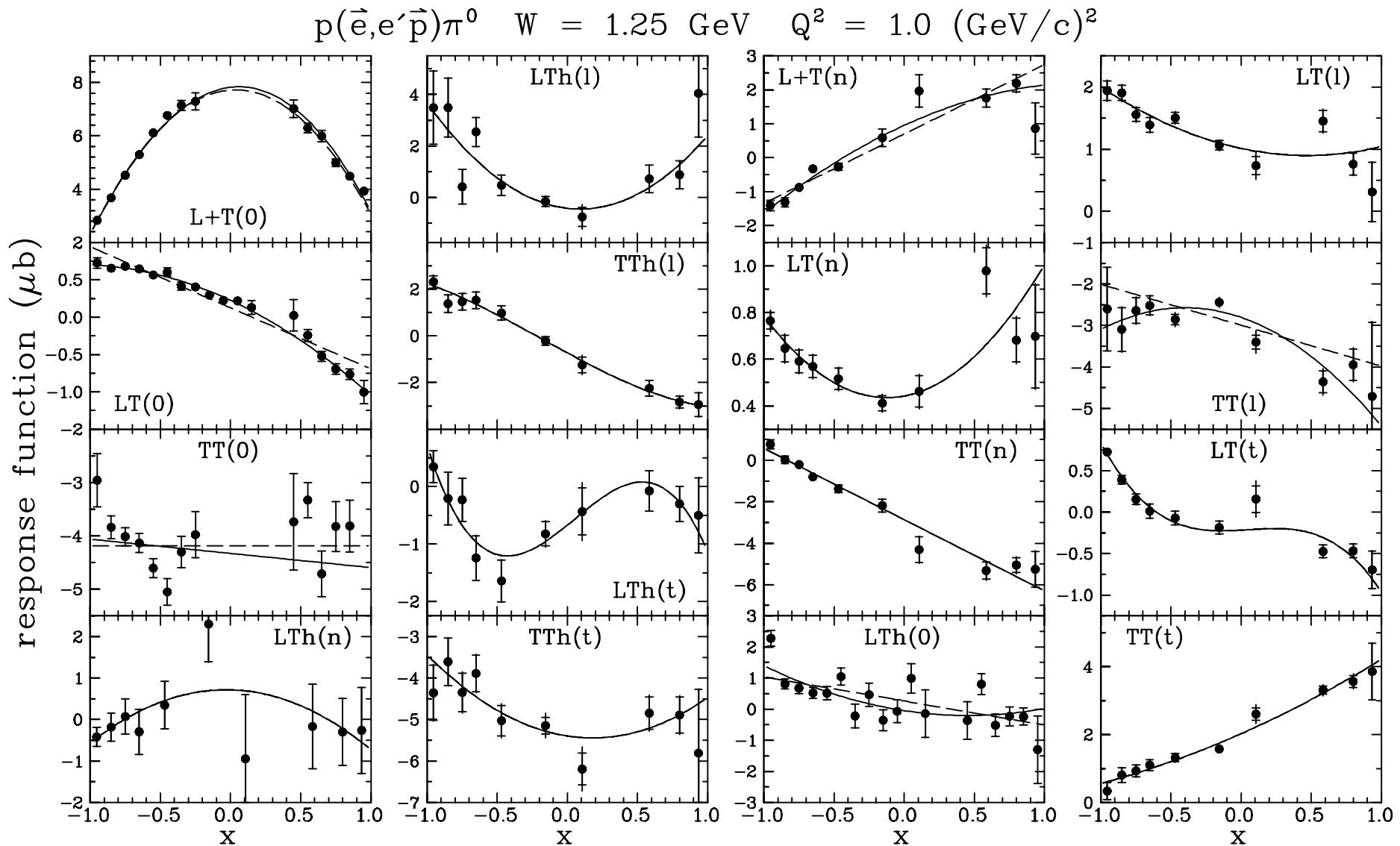


# Legendre analysis, $W=1.23$

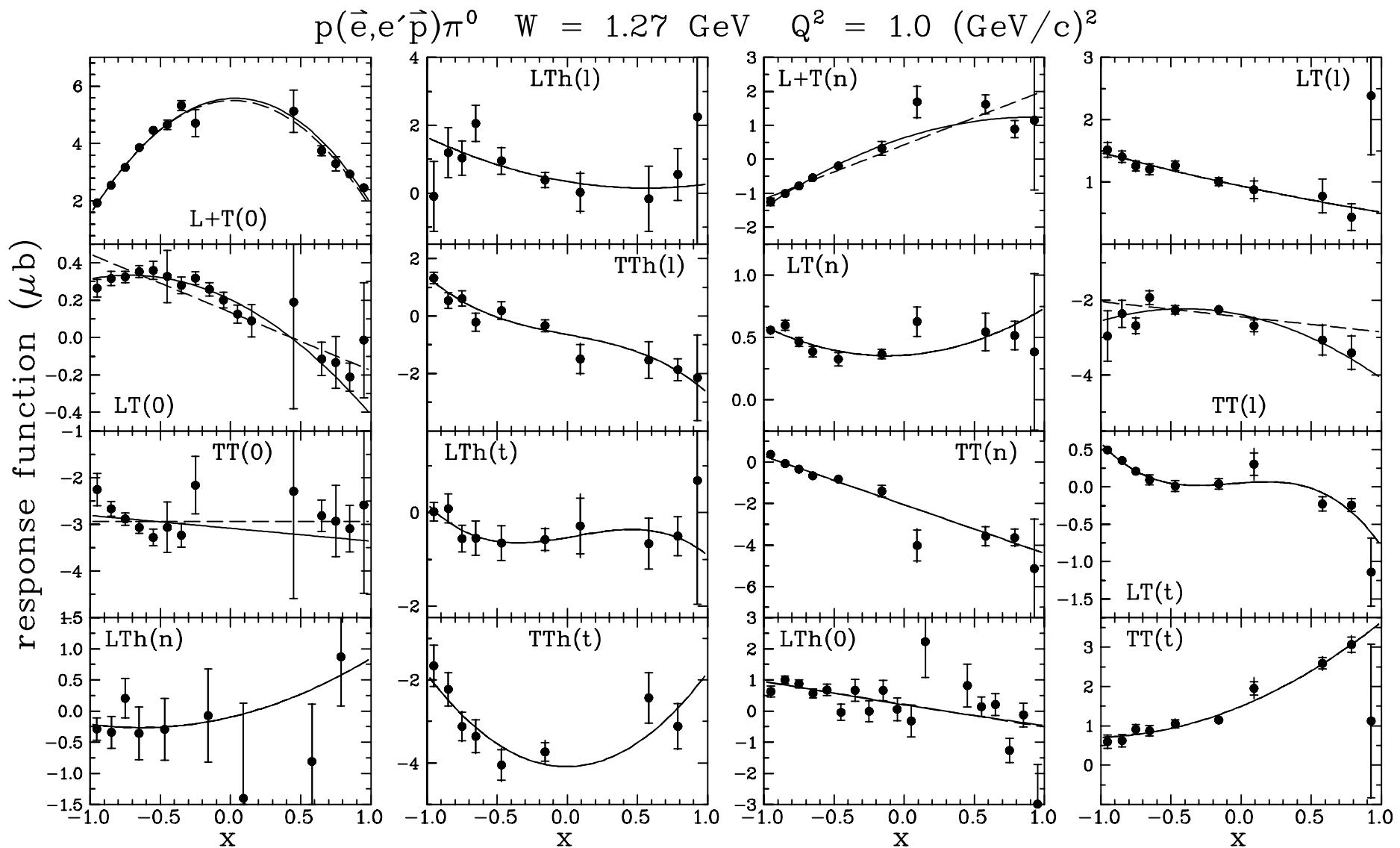


Need terms beyond  $sp$  truncation.

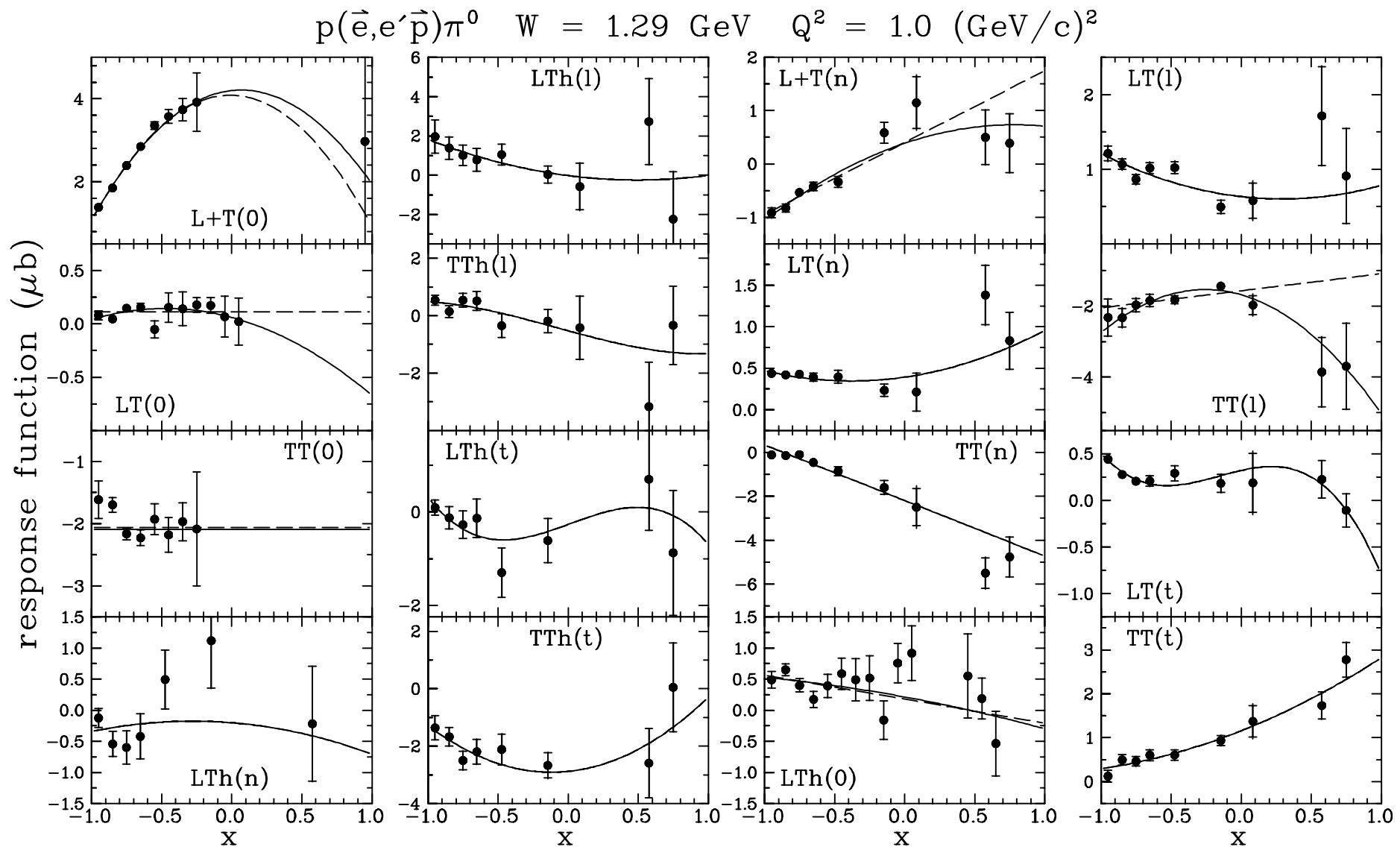
# Legendre analysis, W=1.25



# Legendre analysis, W=1.27



# Legendre analysis, W=1.29



# Multipole Analysis

---

Represent each amplitude (real or imaginary part of  $M_{\ell\pm}$ ,  $E_{\ell\pm}$ ,  $S_{\ell\pm}$ ) as

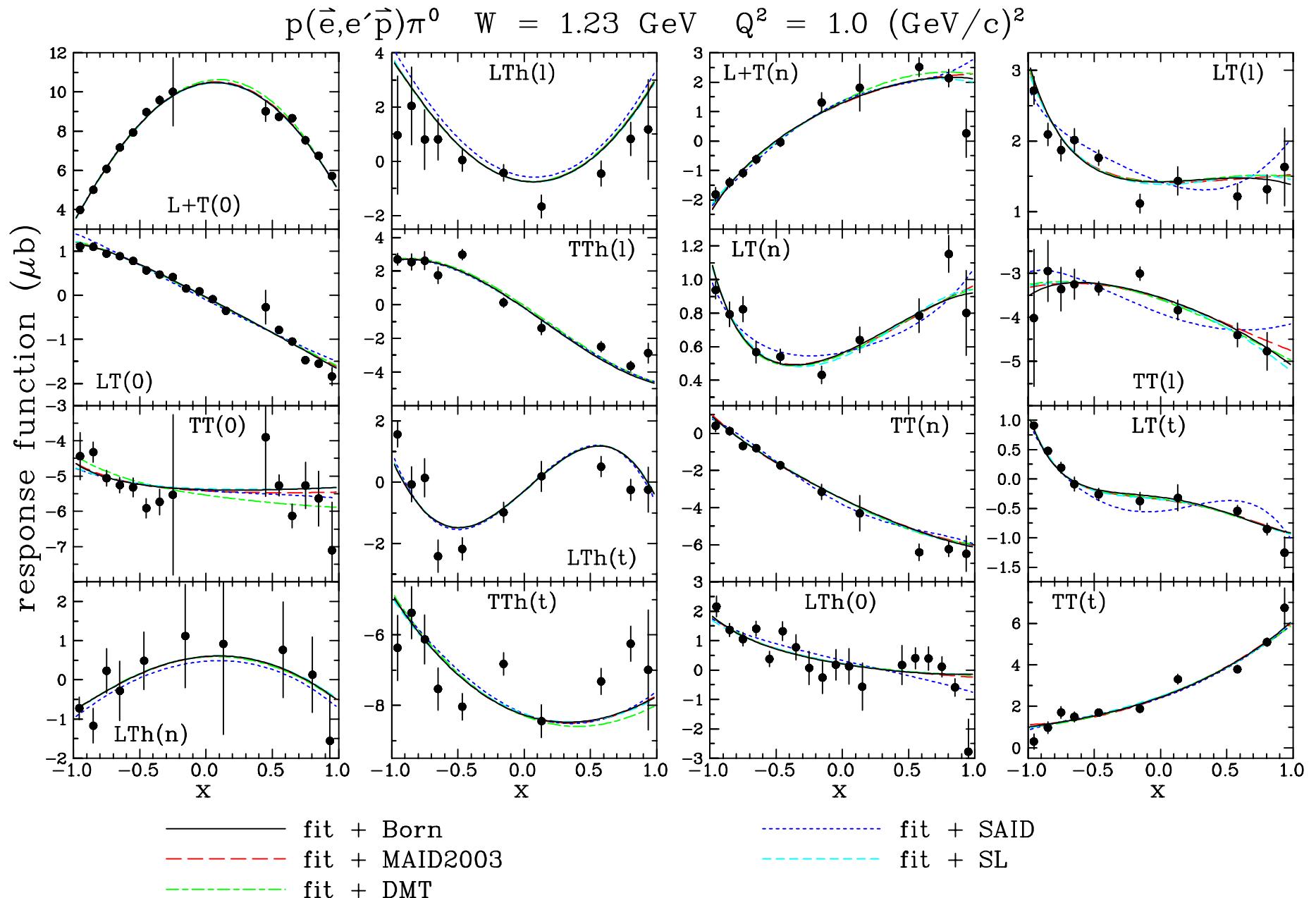
$$A(W, Q^2) = A^{(0)}(W, Q^2) + \Delta A(W, Q^2)$$

where  $A^{(0)}$  from *baseline model* and  $\Delta A$  is *fitted correction*.

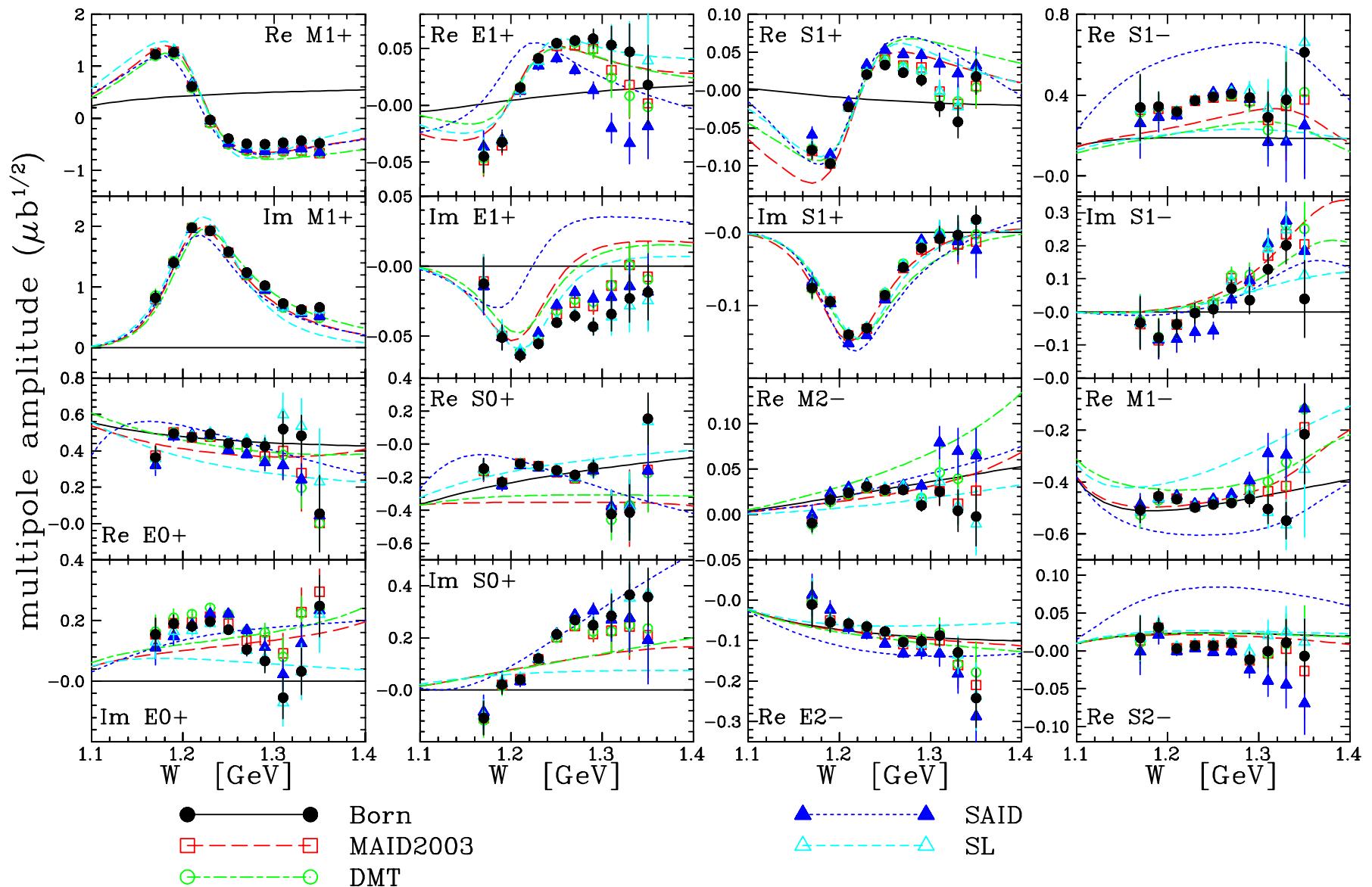
- fit all  $\sigma$ ,  $R$  data for specified  $(W, Q^2)$  simultaneously
- vary appropriate subset of low partial waves
- higher multipoles from baseline model
- relatively little sensitive to choice of baseline model
- enforces symmetries and positivity constraints that are ignored by Legendre analysis

Typically fit 14 angular distributions using only 14-17 parameters.

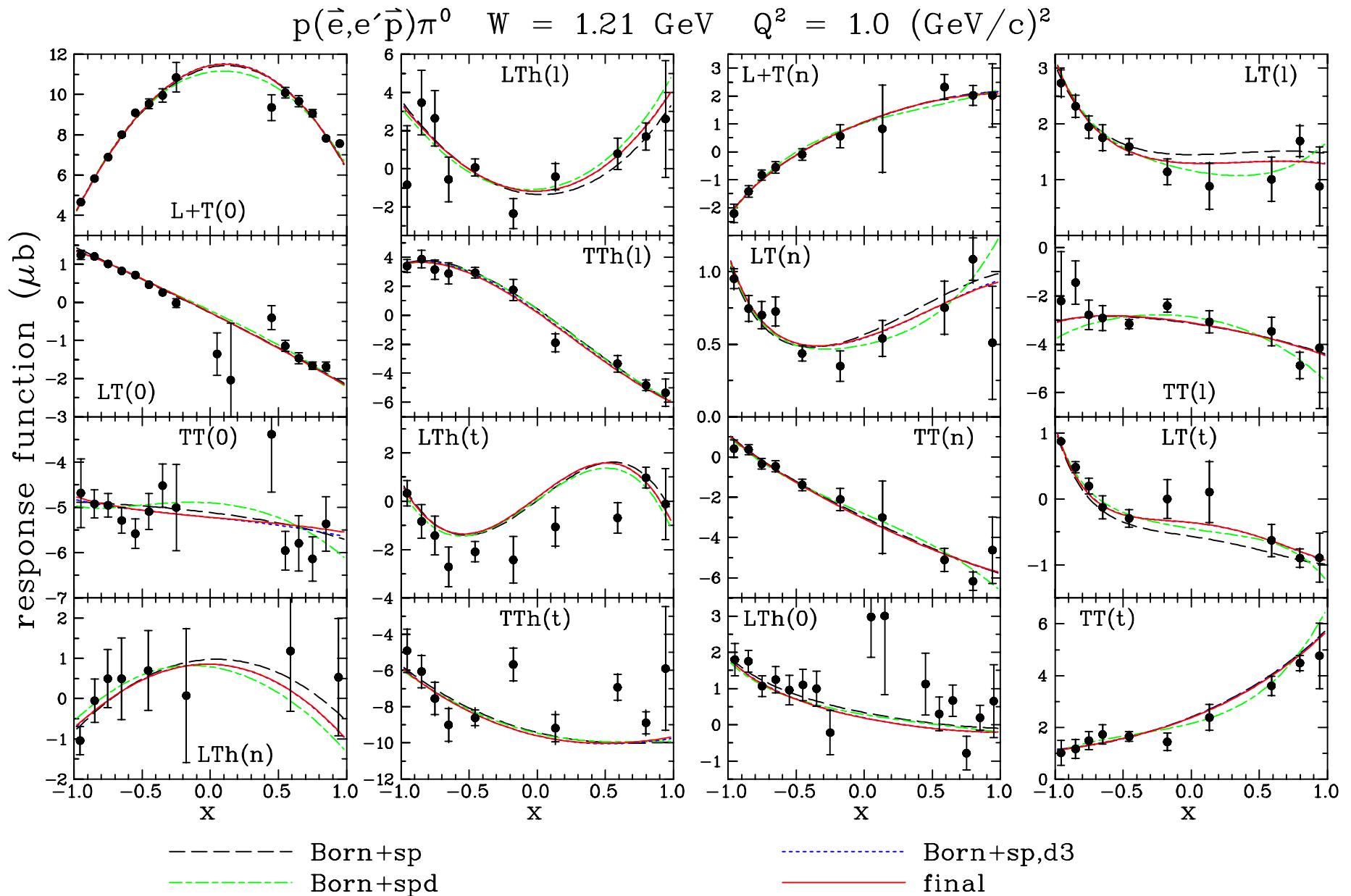
# Baseline variation: fits



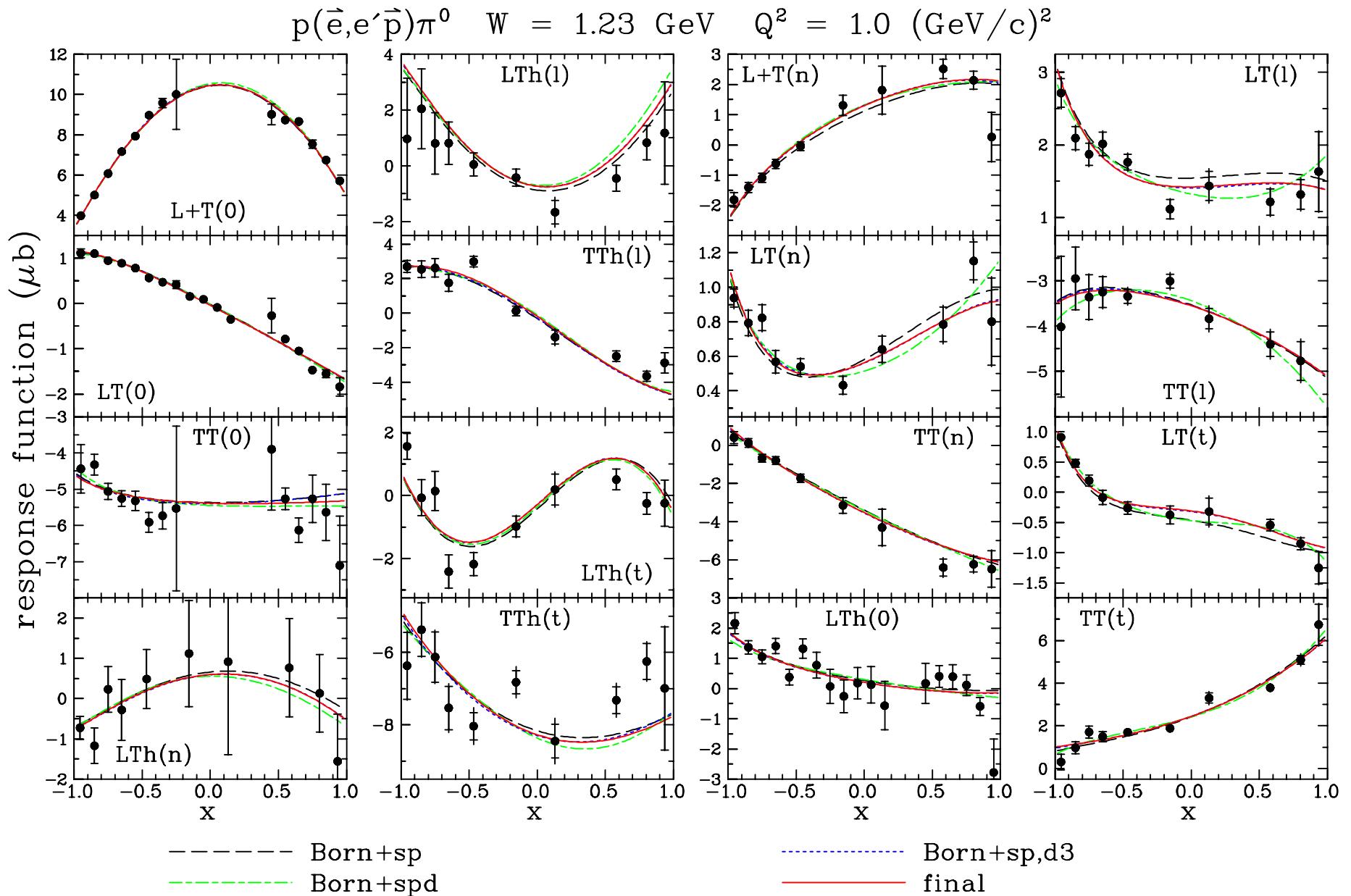
# Baseline variation: multipoles



# Multipole analysis, $W=1.21$

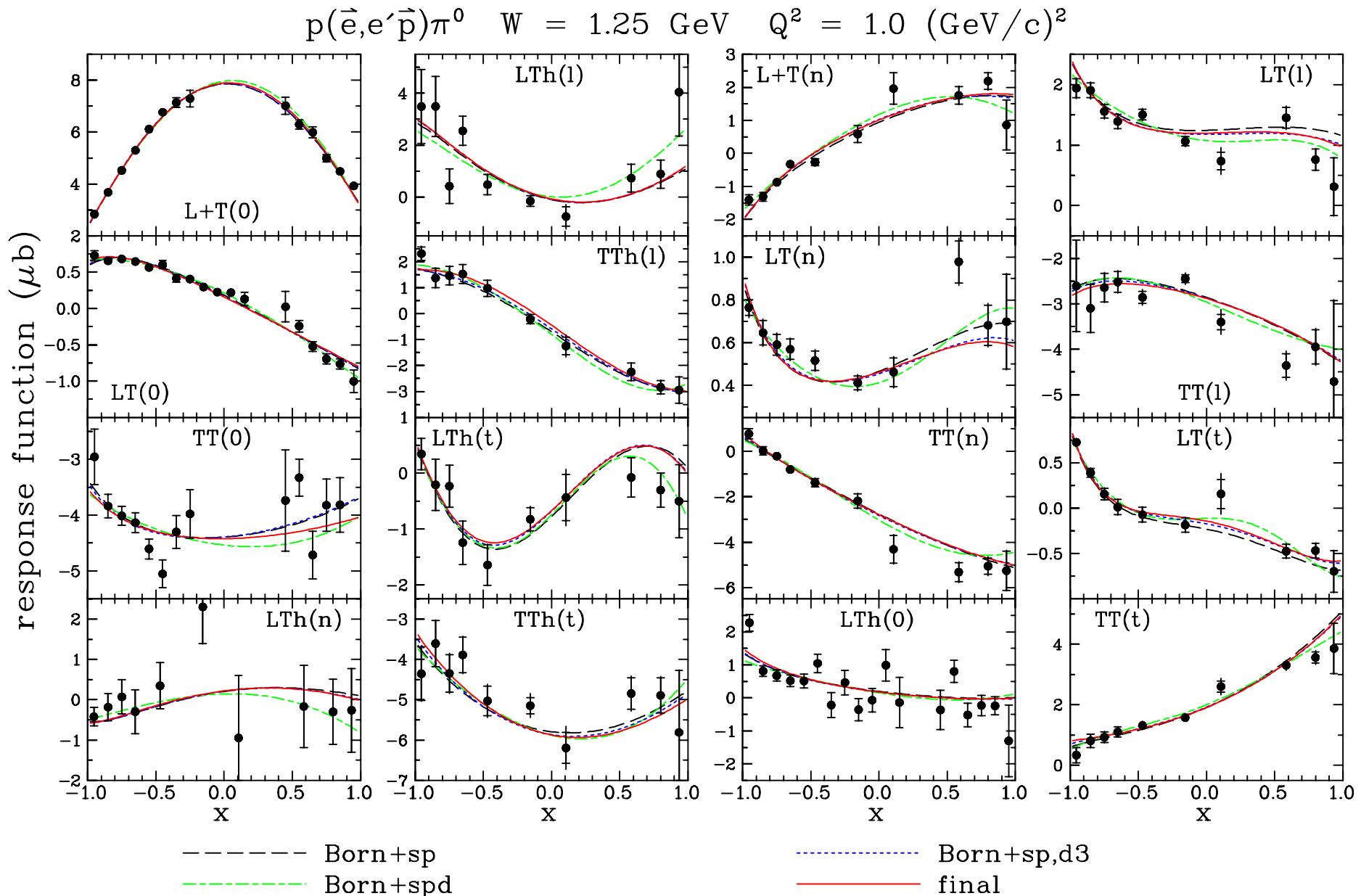


# Multipole analysis, $W=1.23$

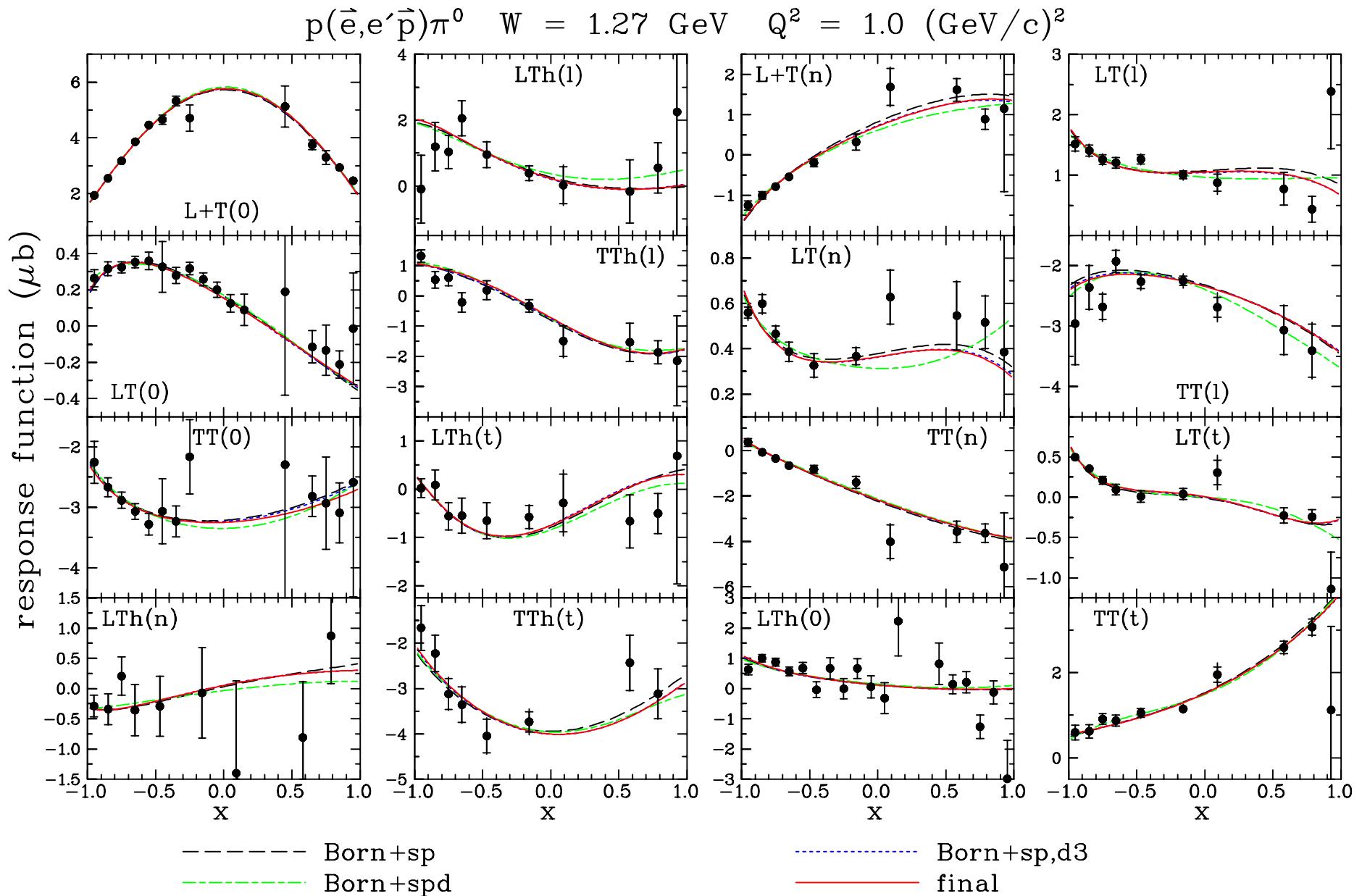


Largest improvements in I-type responses.

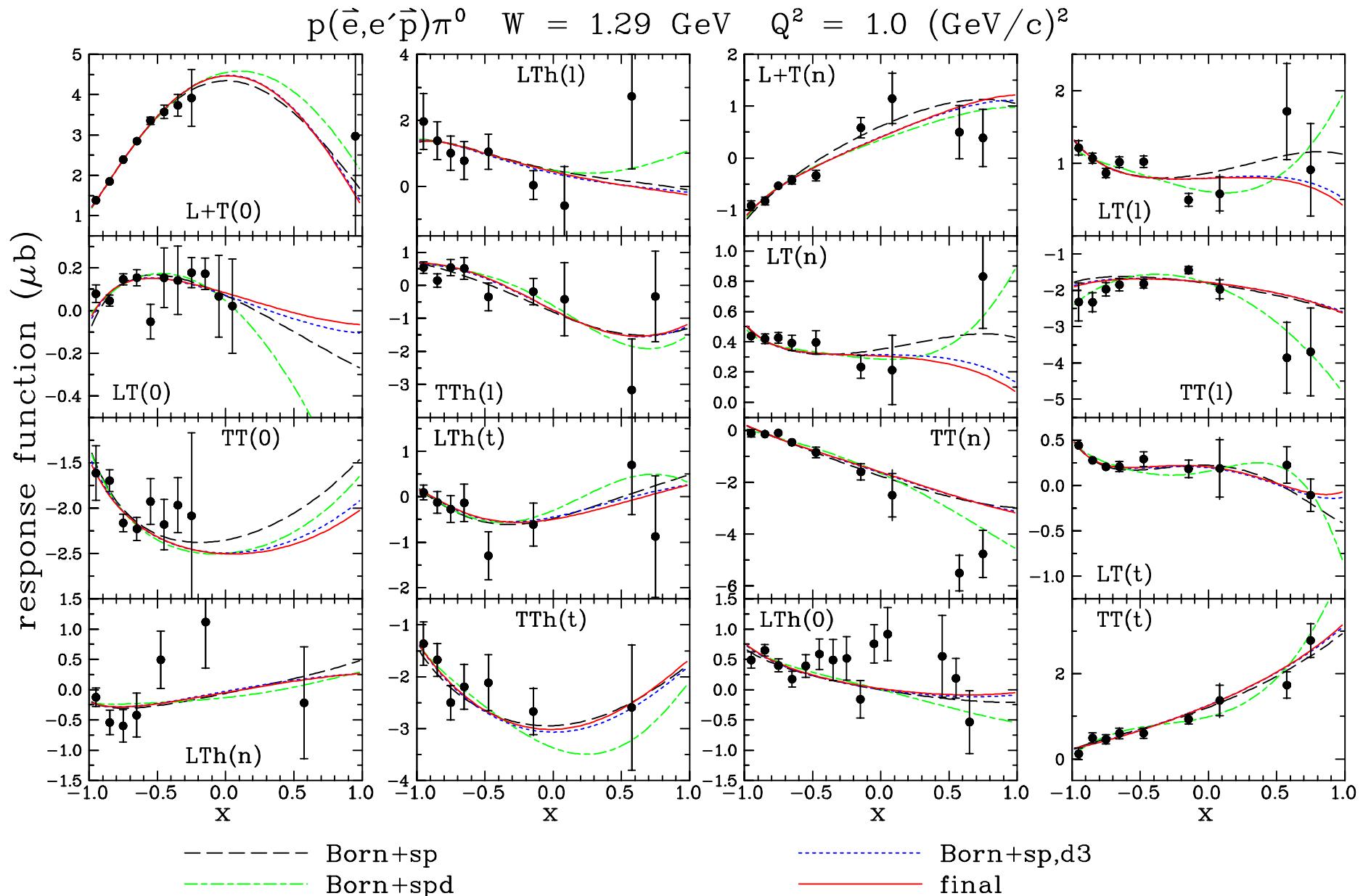
# Multipole analysis, W=1.25



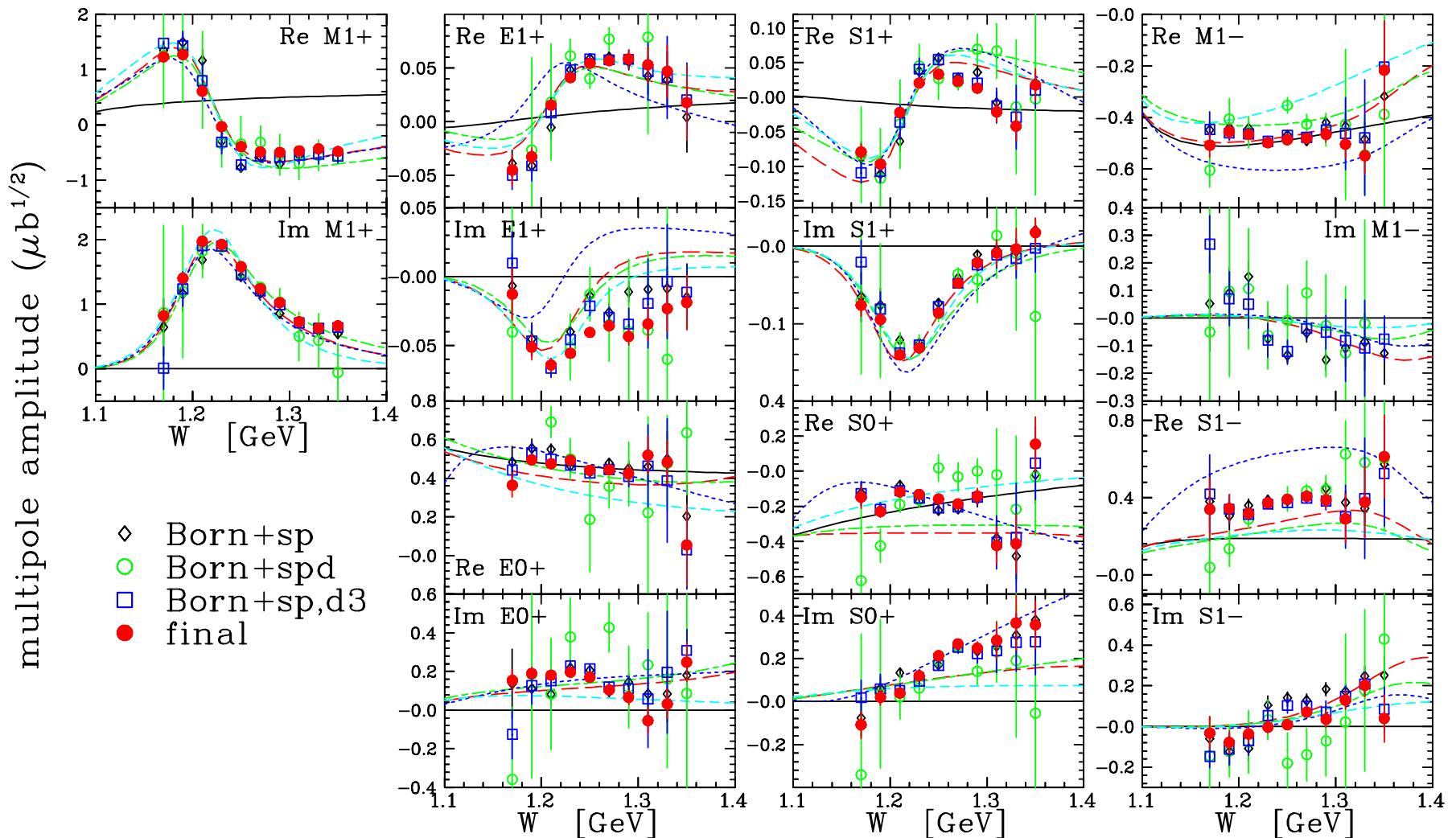
# Multipole analysis, W=1.27



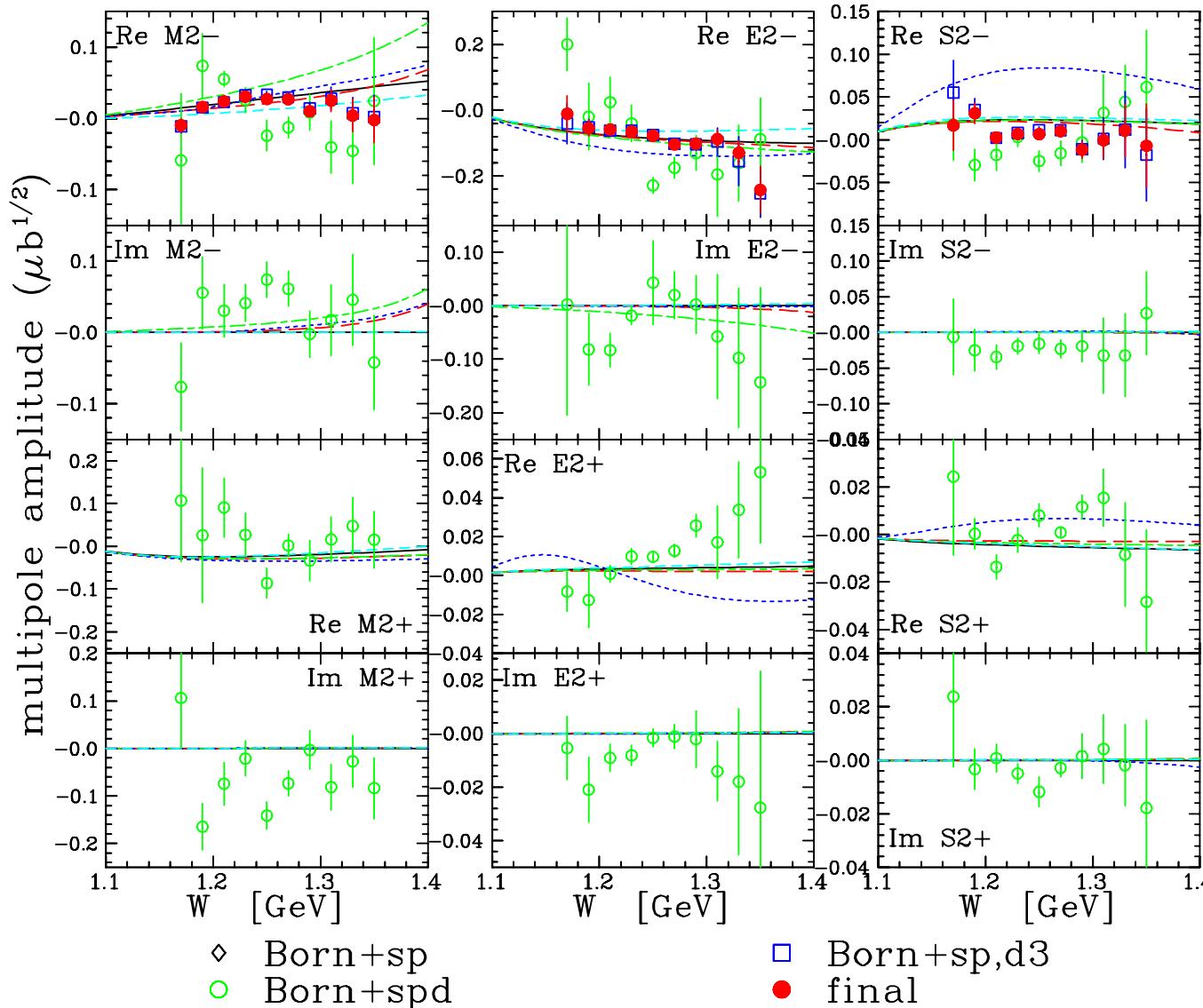
# Multipole analysis, $W=1.29$



# Truncation dependence: $\ell_\pi \leq 1$



# Truncation dependence: $\ell_\pi = 2$

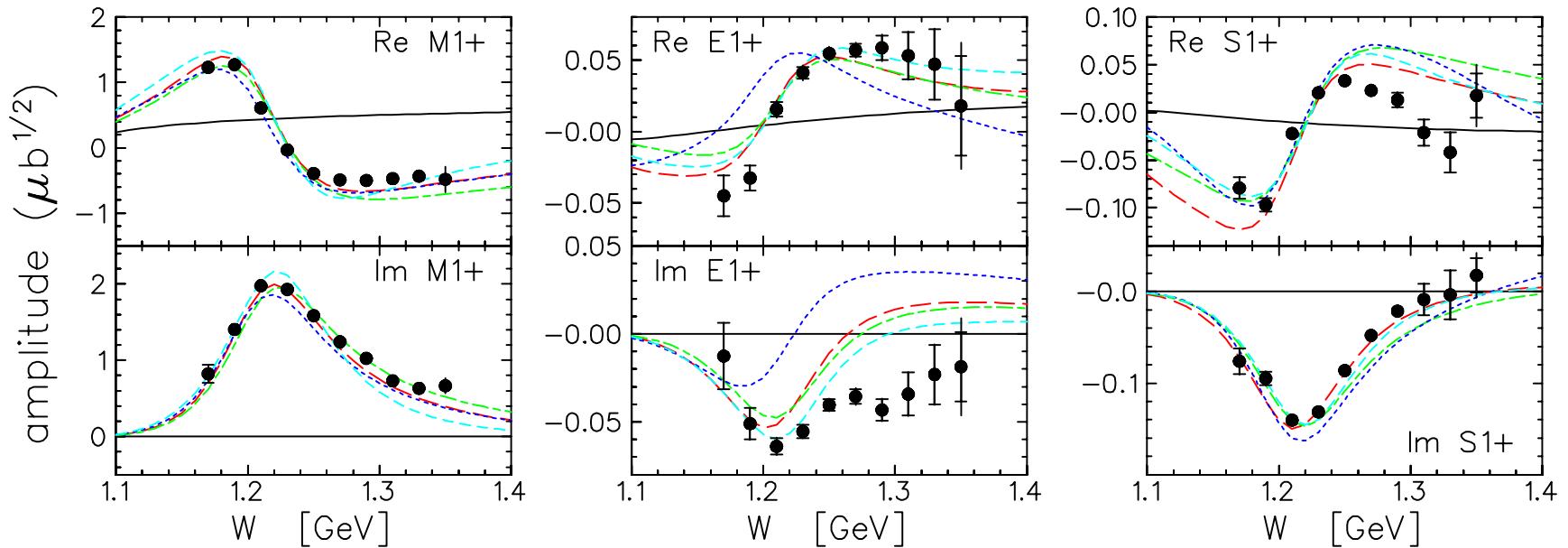


# Optimal model space

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- baseline model = pseudovector Born +  $\rho, \omega$ 
  - minimizes bias
  - qualitative distinction between resonances and FSI modifications of background
  - results based upon MAID, DMT, or SL practically indistinguishable
  - some  $\ell_\pi \geq 2$  amplitudes too large in SAID WI03
- vary: real and imaginary  $\ell_\pi \leq 1$  multipoles, except  $\text{Im}M_{1-}$ , plus **real**  $2-$  multipoles  $\implies$  **16 parameters**
  - correlation between  $\text{Im}M_{1-}, \text{Im}S_{1-}$  not resolved by present data
  - model multipoles for  $\ell_\pi \geq 2$  practically real, no experimental evidence for significant  $\text{Im}2-$
  - variation of  $2+$  multipoles increases uncertainties without improving fits

# 1+ multipole amplitudes



- Systematic uncertainties small.
- Good agreement with models except for  $\text{Im}E_{1+}$
- Insensitive to choice of baseline (except SAID)
- Only  $\text{Im}E_{1+}$  sensitive to correlations with higher multipoles

# Sensitivity to specific amplitudes

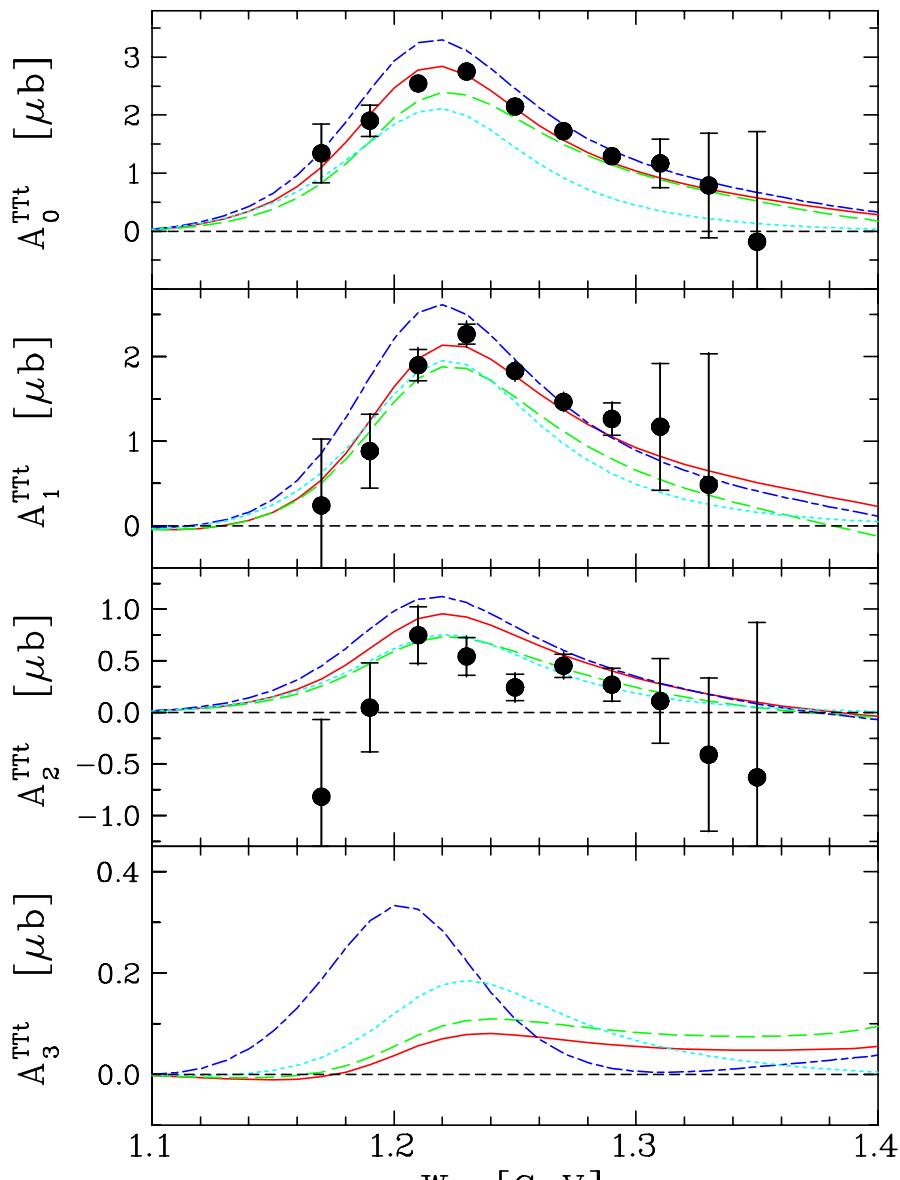
$$A = b + ir \implies A_1^* A_2 = \underbrace{(b_1 b_2 + r_1 r_2)}_{\text{R-type}} + i \underbrace{(b_1 r_2 - b_2 r_1)}_{\text{I-type}}$$

Sensitivity to nonresonant or nondominant multipoles found in specific Legendre coefficients. Using  $sp$  truncation and  $M_{1+}$  dominance:

- $\text{Im}M_{1-} \approx 0, A_0^{TTt} \approx 3\text{Im}M_{1+}^* M_{1-}$   
 $\implies A_0^{TTt} \sim -3(\text{Re}M_{1-})(\text{Im}M_{1+}^*)$
- $\text{Re}S_{1-} > \text{Im}S_{1-}, A_0^{LTn} \approx -\text{Im}M_{1+}^* S_{1-}$   
 $\implies A_0^{LTn} \sim (\text{Re}S_{1-})(\text{Im}M_{1+}^*)$
- $S_{0+}$  isolated in 5 R-type, 5 I-type Legendre coefficients

Note: qualitative guidance only. Multipole analysis does not rely on these relationships.

# Sensitivity to 1 – amplitudes

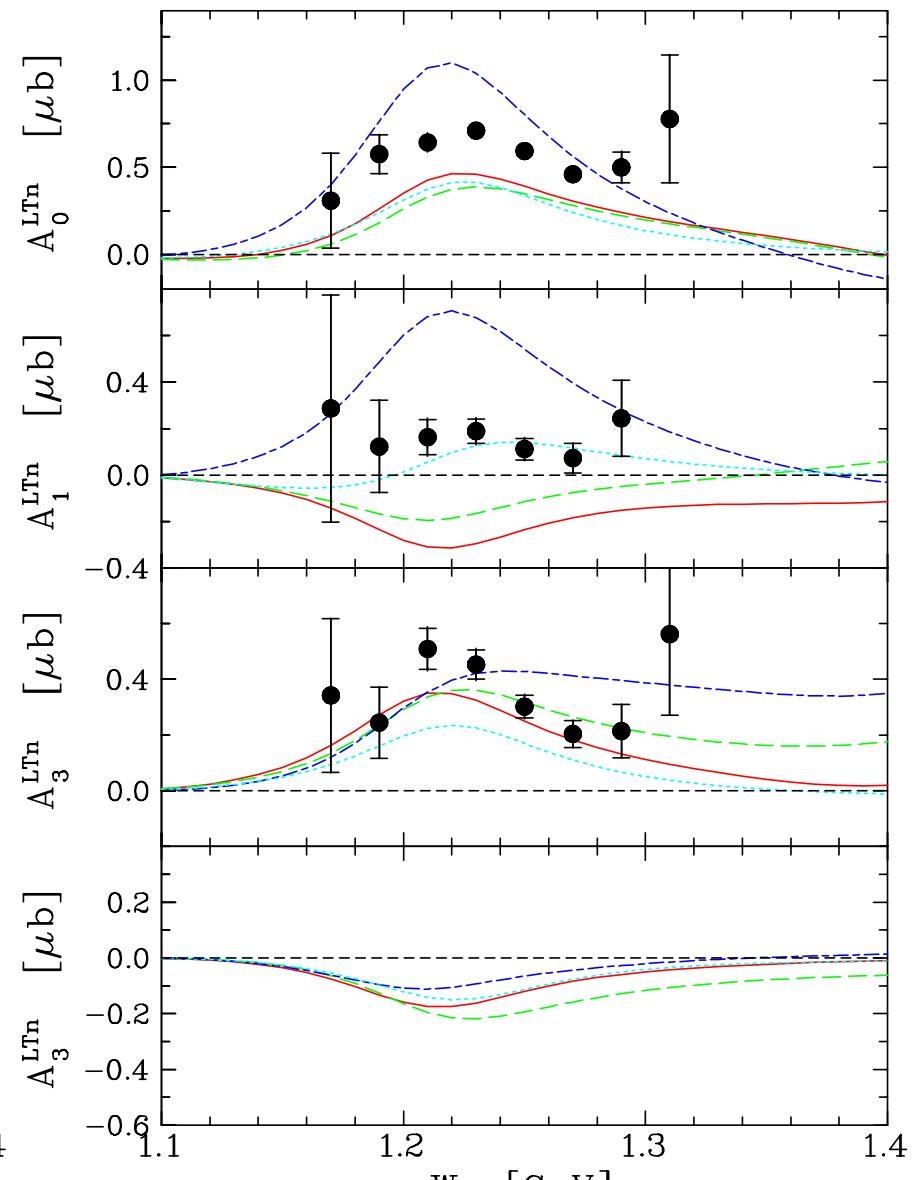


— MAID2003

- - DMT

- - - SAID

--- SL



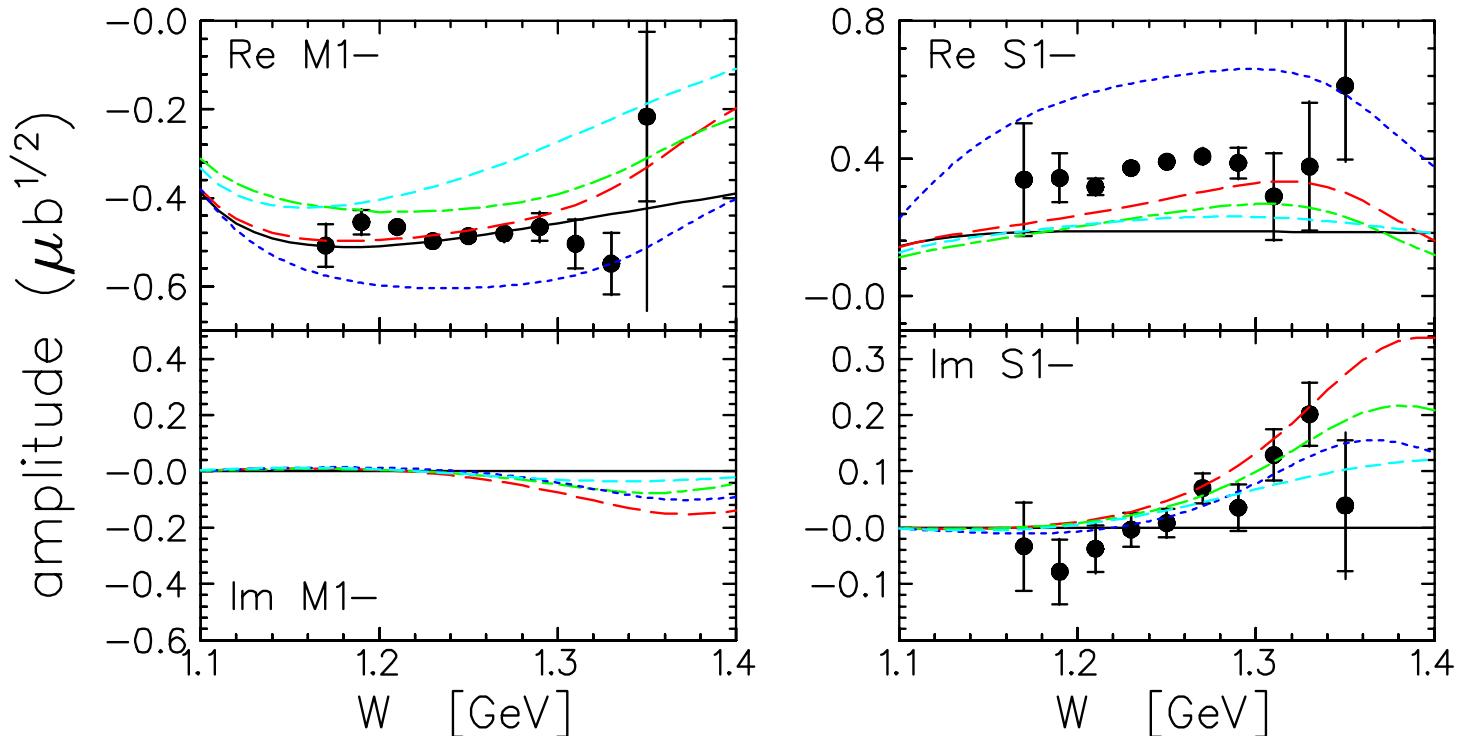
— MAID2003

- - DMT

- - - SAID

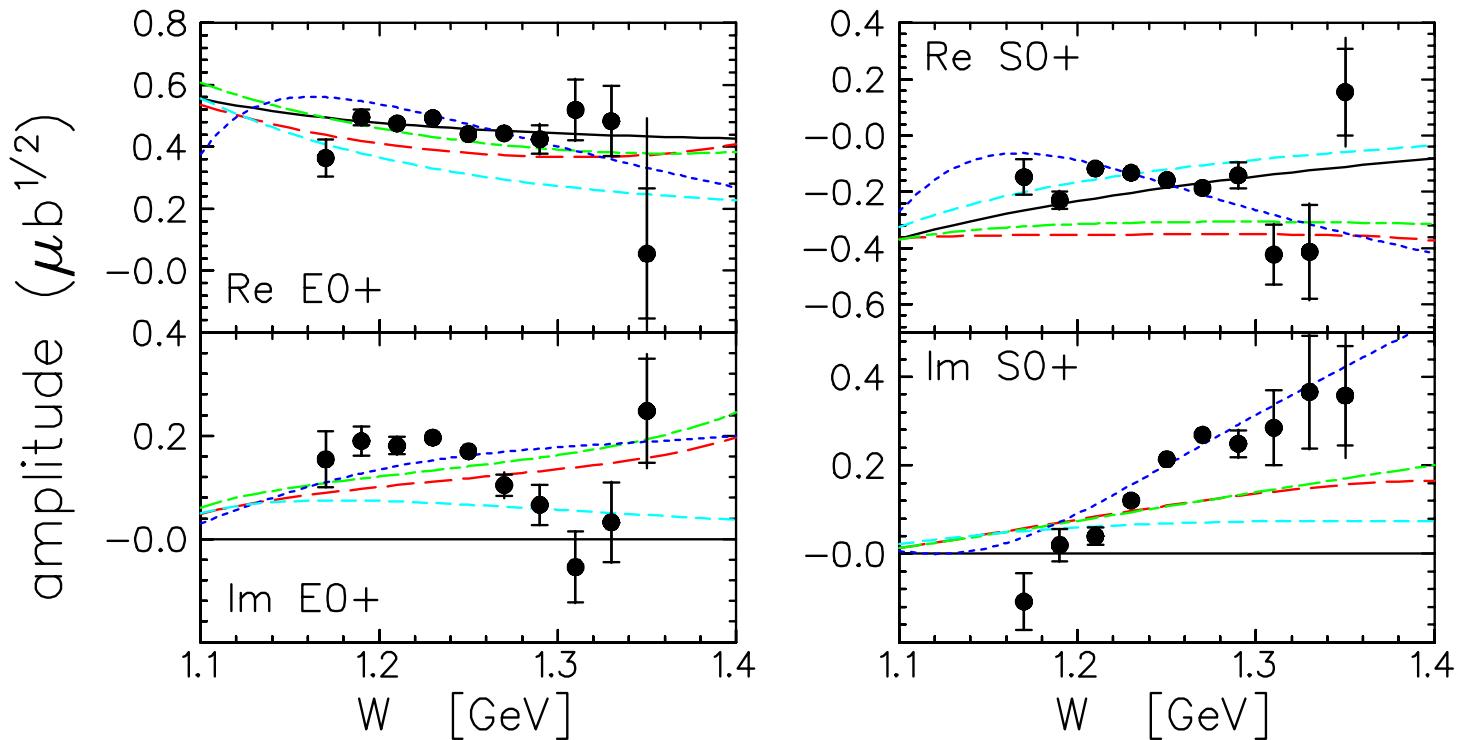
--- SL

# 1 – multipole amplitudes



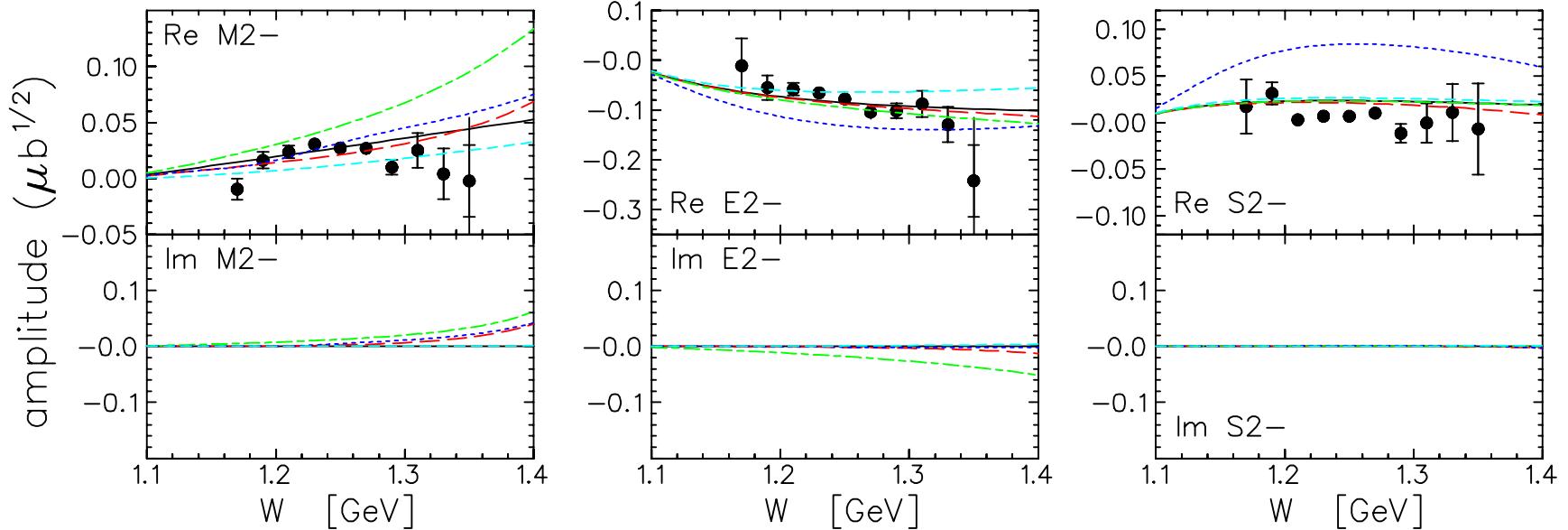
- Qualitatively consistent with Legendre analysis.
- Model variations especially large for  $\text{Re}M_{1-}$ . Data near PV Born.
- $\text{Re}S_{1-}$  data strong on low- $W$  side of Roper suggests radial excitation.

# $0^+$ multipole amplitudes



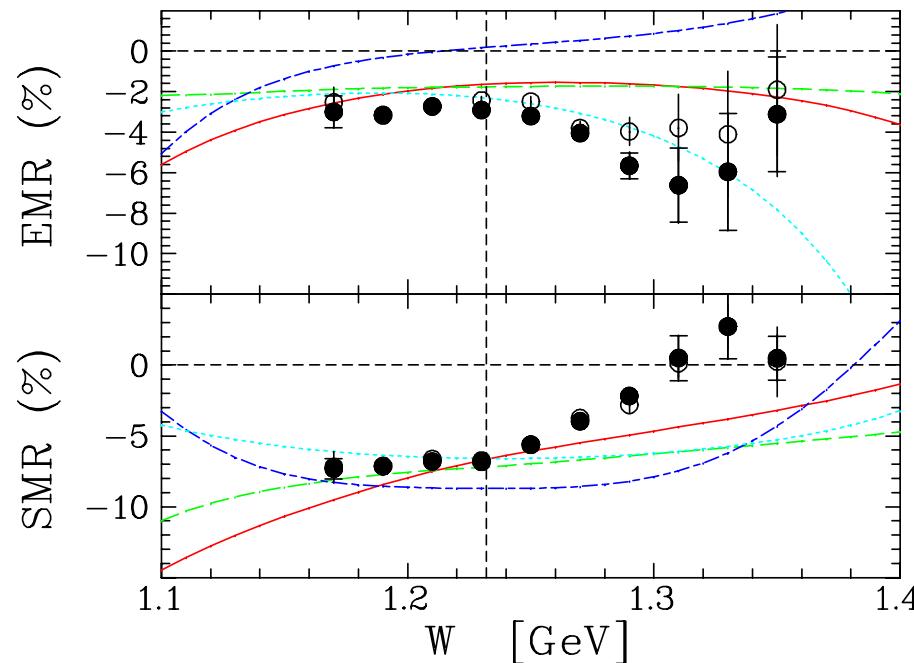
- Successful fits requires significant modification of  $S_{0^+}$ 
  - Appreciable slope in  $\text{Im}S_{0^+}$  only present in SAID.
  - Negative slope in  $\text{Re}S_{0^+}$  only present in SAID.
  - Nonresonant  $\pi NN$  effect not described well by current dynamical models (DMT or SL).

# 2 – multipole amplitudes



- No evidence for appreciable  $\text{Im } 2-$  here.
- Relatively strong  $\text{Re } E_{2-}$  consistent with PV Born.

# EMR,SMR: multipole method



$$R_{EM} = \frac{\text{Re}E_{1+}\text{Re}M_{1+} + \text{Im}E_{1+}\text{Im}M_{1+}}{\text{Re}M_{1+}\text{Re}M_{1+} + \text{Im}M_{1+}\text{Im}M_{1+}}$$
$$R_{SM} = \frac{\text{Re}S_{1+}\text{Re}M_{1+} + \text{Im}S_{1+}\text{Im}M_{1+}}{\text{Re}M_{1+}\text{Re}M_{1+} + \text{Im}M_{1+}\text{Im}M_{1+}}$$

Does not assume  $sp$  truncation or  $M_{1+}$  dominance.

# EMR,SMR: truncation dependence

variables	SMR, %	EMR, %	$\chi^2_\nu$
0+, 1+, 1-	$-6.73 \pm 0.24$	$-2.43 \pm 0.19$	1.69
0+, 1+, 1-, 2-, 2+	$-6.95 \pm 0.49$	$-3.19 \pm 0.79$	1.64
0+, 1+, 1-, Re $M_{1-}$	$-6.85 \pm 0.27$	$-2.73 \pm 0.20$	1.65
above except Im $M_{1-}$	$-6.84 \pm 0.15$	$-2.91 \pm 0.19$	1.65

- use pseudovector Born baseline
- uncertainties increase with number of fitting parameters
- results almost independent of truncation scheme (within uncertainties)
- minimum uncertainty upon elimination of Im $M_{1-}$ , but affects EMR

# EMR,SMR: baseline variation

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baseline	SMR, %	EMR, %	$\chi^2_\nu$
Born	$-6.84 \pm 0.15$	$-2.91 \pm 0.19$	1.65
MAID2003	$-6.90 \pm 0.15$	$-2.79 \pm 0.19$	1.67
DMT	$-6.82 \pm 0.15$	$-2.70 \pm 0.19$	1.67
SL	$-6.79 \pm 0.15$	$-2.81 \pm 0.19$	1.64
SAID	$-7.38 \pm 0.15$	$-2.53 \pm 0.20$	1.85

- optimum parameter set
- SAID WI03 unsuitable as baseline because oscillations due to  $\ell_\pi \geq 2$  too strong
- EMR, SMR insensitive to baseline (except SAID)

# $I = 1/2$ contamination

- Need both  $n\pi^+$  and  $p\pi^0$  for isospin analysis, but models predict negligible contamination. For example,

$$p\pi^0 \quad I = \frac{3}{2}$$

MAID2003	EMR	-1.65%	-1.62%
	SMR	-6.73%	-6.71%

- Or, assume Born baseline describes background, such that

$$R_{SM}^{(3/2)} \approx \text{Re} \frac{\Delta S_{1+}}{\Delta M_{1+}} = -6.81\%$$

$$R_{EM}^{(3/2)} \approx \text{Re} \frac{\Delta E_{1+}}{\Delta M_{1+}} = -3.12\%$$

Contamination negligible for SMR, within uncertainty for EMR.

# Legendre vs. multipole fit

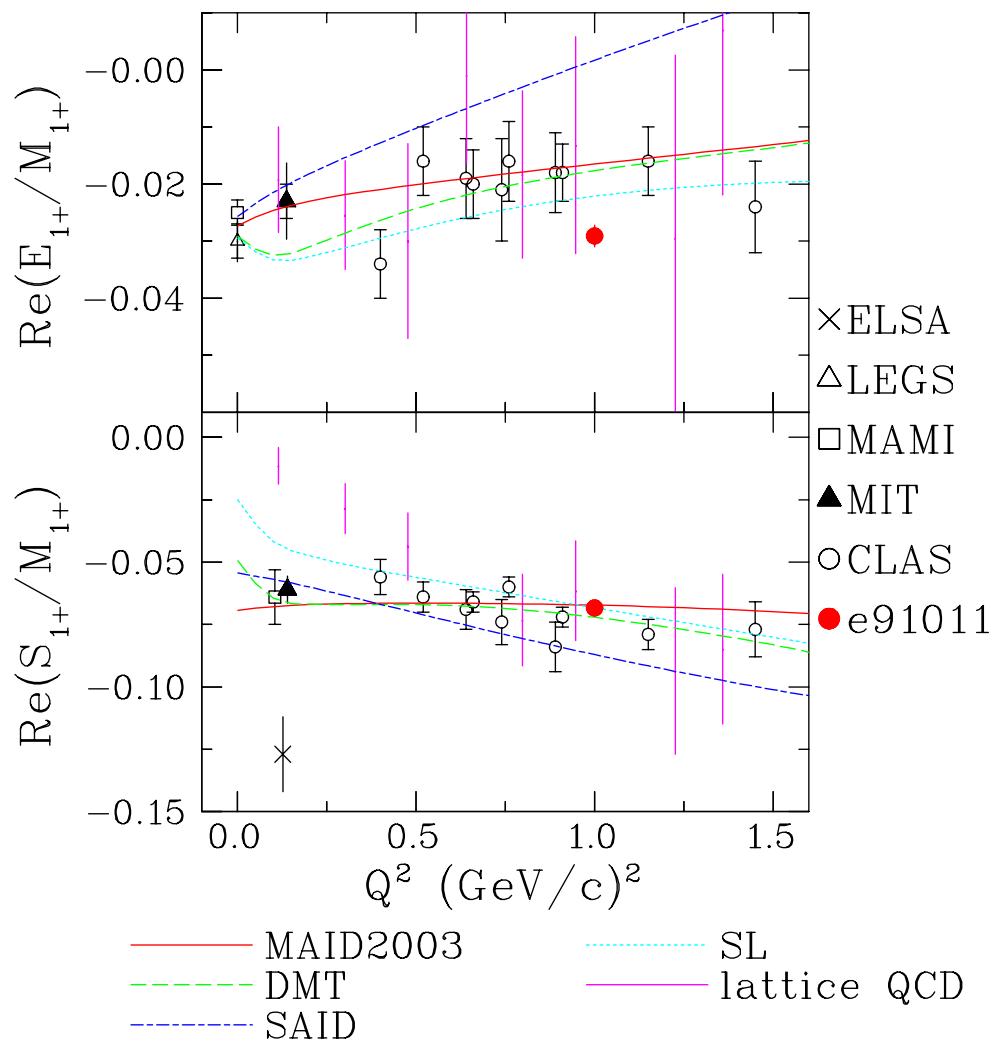
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method/baseline	SMR, %	EMR, %	$\chi^2_\nu$
Legendre <i>sp</i>	$-6.07 \pm 0.11$	$-2.04 \pm 0.13$	1.67
Legendre <i>sp+</i>	$-6.11 \pm 0.11$	$-1.92 \pm 0.14$	1.50
fit+Born	$-6.84 \pm 0.15$	$-2.91 \pm 0.19$	1.65
fit+MAID2003	$-6.90 \pm 0.15$	$-2.79 \pm 0.19$	1.67
fit+DMT	$-6.82 \pm 0.15$	$-2.70 \pm 0.19$	1.67
fit+SL	$-6.79 \pm 0.15$	$-2.81 \pm 0.19$	1.64
fit+SAID	$-7.38 \pm 0.15$	$-2.53 \pm 0.20$	1.85

- EMR more sensitive to extra terms.
- Both EMR,SMR larger for multipole analysis; relative error in EMR large.

Traditional Legendre analysis inadequate!

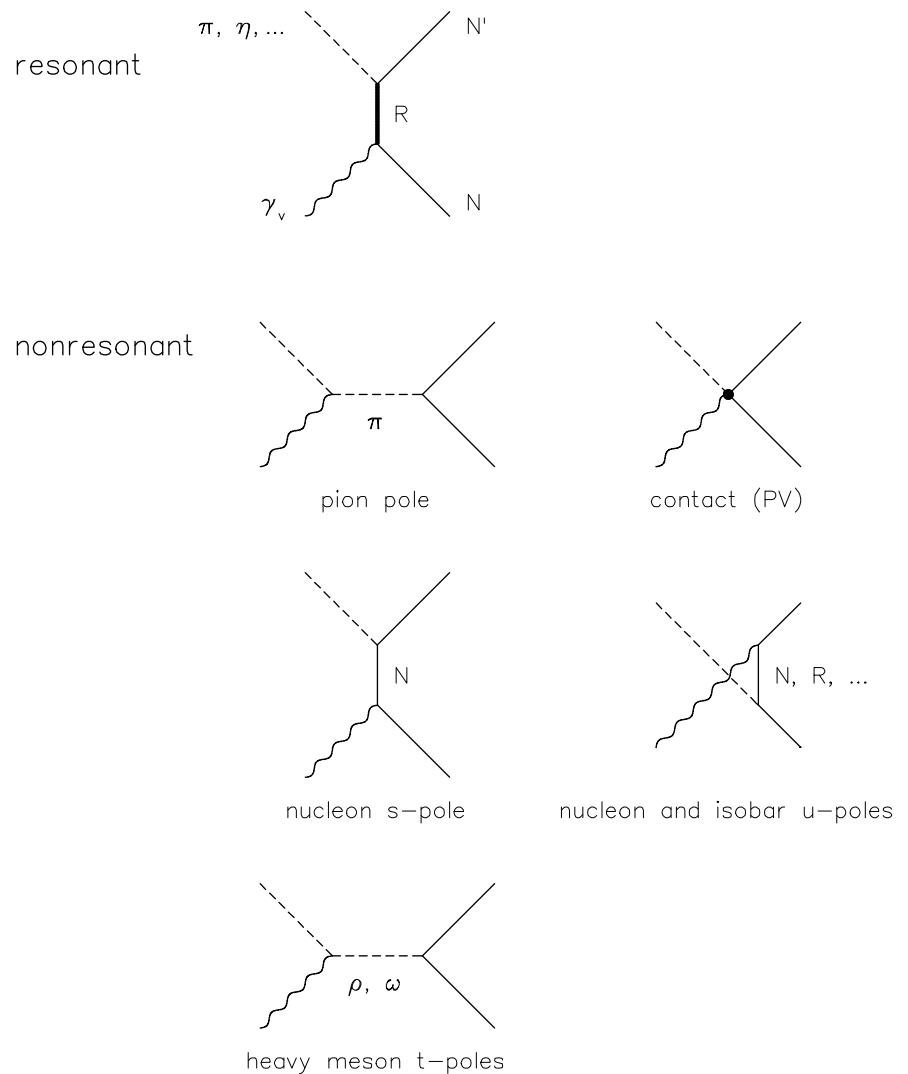
# Quadrupole ratios, $Q^2 \lesssim 1.5$



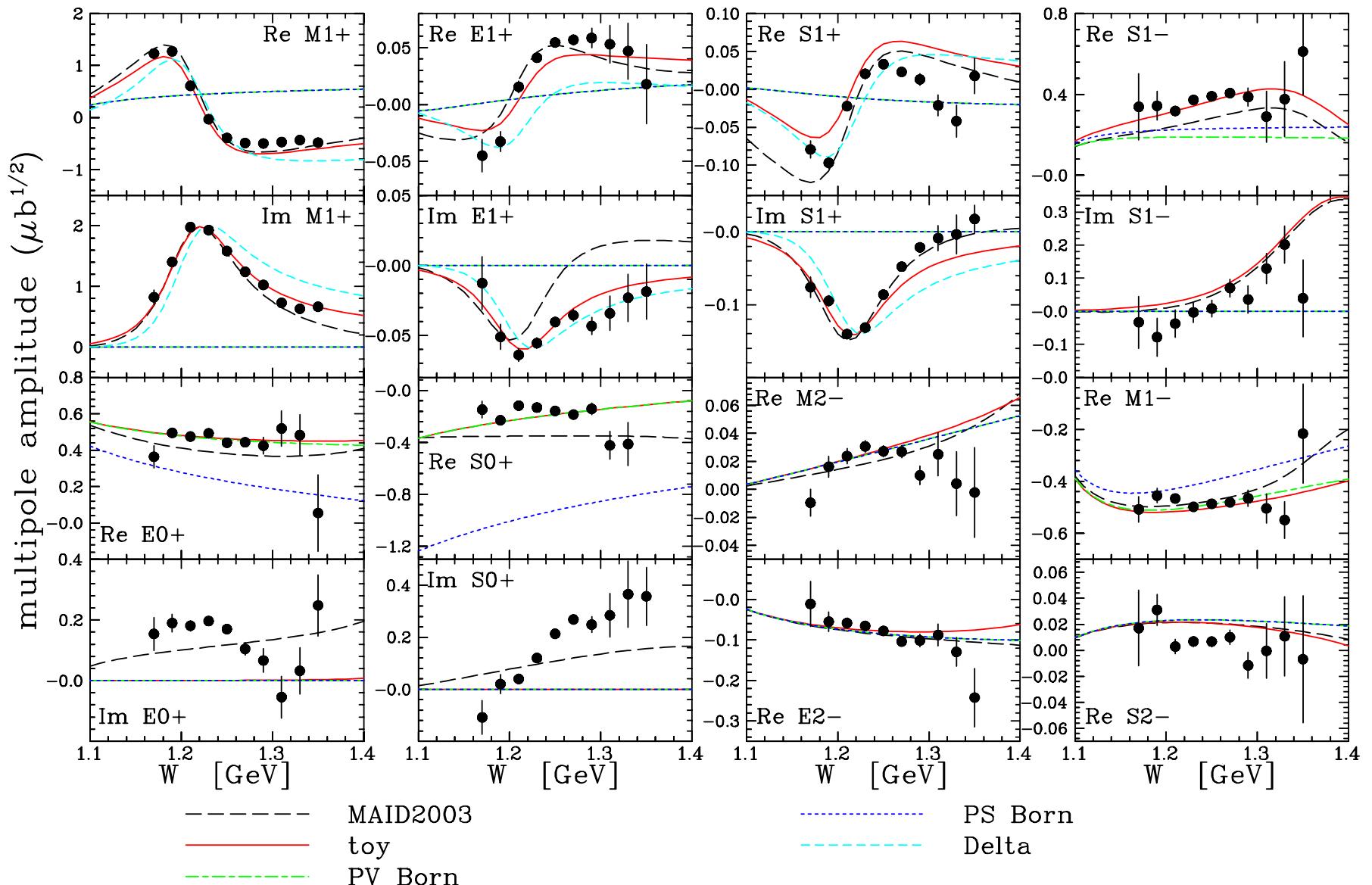
- MAID, DMT, SL fits included CLAS data.
- Disagree strongly with EMR from SAID-WI03.
- Both EMR and SMR appear flat over this range of  $Q^2$ .
- Quenching pionic contributions may produce slope in lattice QCD.

# Toy model

- Based upon MAID
  - consider PV/PS mixing
  - include  $\rho, \omega$  diagrams
  - include phases  $\phi_M$ ,  $\phi_E$ ,  $\phi_S$  (here constant)
  - omit resonant  $u$ -pole
  - penetrabilities, widths, form factors, etc.
  - similar to MAID98
- fit “by eye” to multipoles



# Toy model: multipoles



# Toy model: observations

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- PV coupling preferred over PS; no obvious benefit from mixing
- $P_{33}(1232)$  phases
  - $\phi_M \approx \phi_E \approx \phi_S \approx 25^\circ$
  - $\phi_E$  depends on  $Q^2$ : at  $Q^2 = 0$ , MAID  $\Rightarrow \phi_E \approx 75^\circ$
- $pA_{1/2} \approx 0$  at  $Q^2 = 1$  (correlated with PV/PS mixture)
- strong  $pS_{1/2}$  shows radial Roper
  - consistent with CLAS  $Q^2 = 0.4, 0.65$  (Aznauryan *et al.*)
  - additional phase small,  $\sim 5^\circ$
- strong  $\text{Im}S_{0+}$  and  $\text{Im}E_{0+}$  require FSI

# Conclusions

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- First (nearly) complete set of polarization responses
  - OOP important for separation of responses
  - model variations large for I-type and smaller for R-type but increasing with  $|W - m_\Delta|$
- Nearly model independent multipole analysis
  - good agreement for  $1+$  multipoles except  $\text{Im}E_{1+}$ ; sensitive to unitarity phase
  - strong  $\text{Im}0+$  suggests FSI modification
  - strong  $S_{1-}$  — harbinger of radial Roper
  - PV coupling preferred
  - neither  $\ell_\pi \leq 1$  nor  $M_{1+}$  dominance reliable for EMR,SMR
  - relative error in traditional EMR large

**Obligatory conclusion: more research needed!**

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# Outlook

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- Experimental possibilities
  - repeat with smaller  $\epsilon$  for Rosenbluth separation
  - higher  $Q^2$  for  $\Delta$
  - higher  $W$  for Roper, etc.
  - $\eta$  production near  $S_{11}$
  - $p(\vec{e}, e' \vec{n})\pi^+$  near threshold for  $S_{0+}/E_{0+}$  and  $\chi$ PT
  - target polarization, both transverse & longitudinal
- Theoretical challenges
  - refine effective Lagrangian, dispersion theory, and/or coupled-channels (dynamical) models
  - connect with quark models
  - lattice QCD
    - improve statistics
    - eliminate quenching
    - extend  $Q^2$